

**ADVANCED REVIEW**

# Alternative-splicing defects in cancer: Splicing regulators and their downstream targets, guiding the way to novel cancer therapeutics

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Defects in alternative splicing are frequently found in human tumors and result either from mutations in splicing-regulatory elements of specific cancer genes or from changes in the regulatory splicing machinery. RNA splicing regulators have emerged as a new class of oncoproteins and tumor suppressors, and contribute to disease progression by modulating RNA isoforms involved in the hallmark cancer pathways. Thus, dysregulation of alternative RNA splicing is fundamental to cancer and provides a potentially rich source of novel therapeutic targets. Here, we review the alterations in splicing regulatory factors detected in human tumors, as well as the resulting alternatively spliced isoforms that impact cancer hallmarks, and discuss how they contribute to disease pathogenesis. RNA splicing is a highly regulated process and, as such, the regulators are themselves tightly regulated. Differential transcriptional and posttranscriptional regulation of splicing factors modulates their levels and activities in tumor cells. Furthermore, the composition of the tumor microenvironment can also influence which isoforms are expressed in a given cell type and impact drug responses. Finally, we summarize current efforts in targeting alternative splicing, including global splicing inhibition using small molecules blocking the spliceosome or splicing-factor-modifying enzymes, as well as splice-switching RNA-based therapeutics to modulate cancer-specific splicing isoforms.

This article is categorized under:

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**KEYWORDS**

alternative splicing, antisense oligonucleotides, cancer, isoforms, oncogenes, RNA-based therapies, RNA binding proteins, RNA biology, spliceosome, splicing factors, tumor suppressors

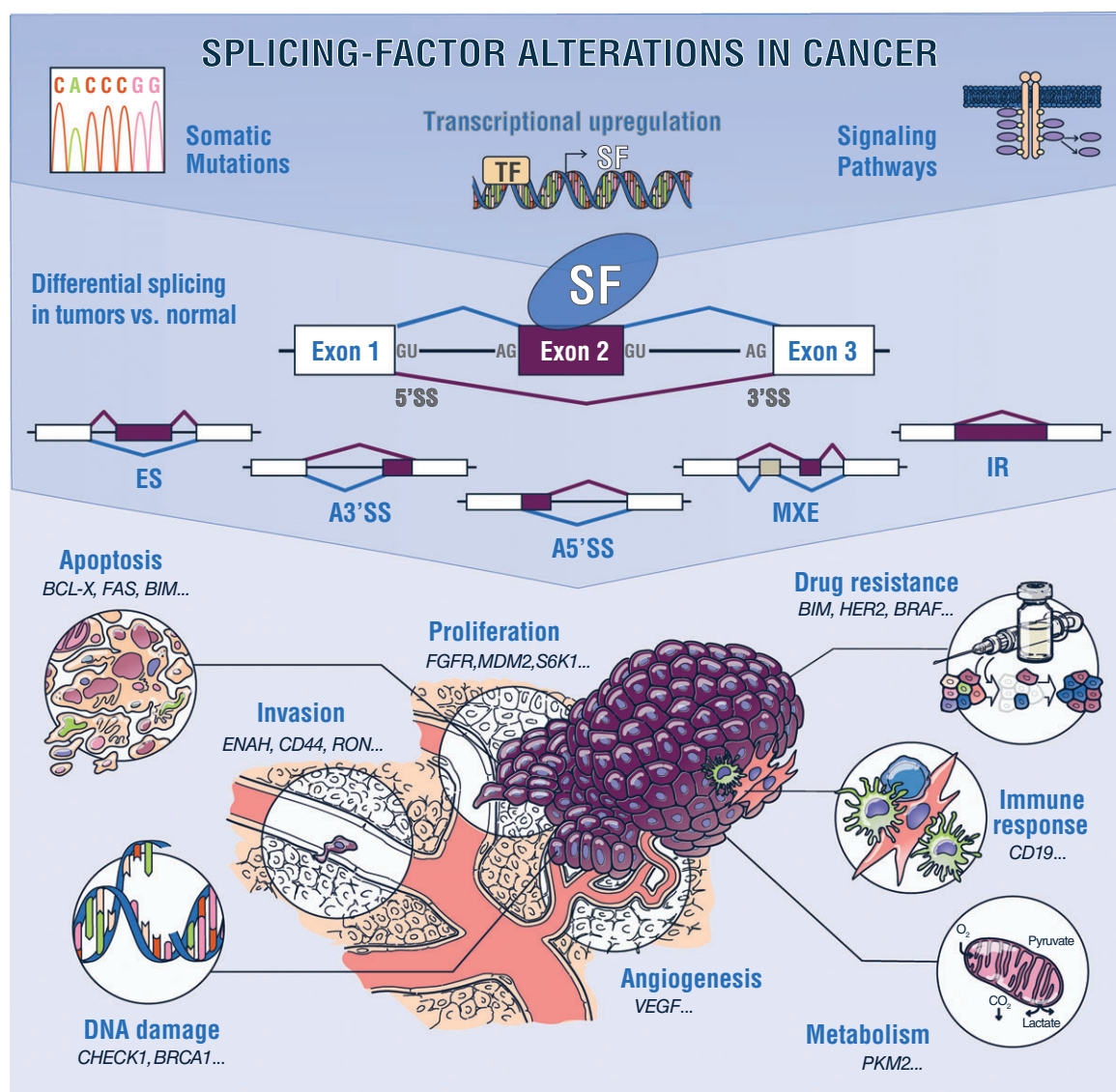
## 1 | INTRODUCTION

Cancers arise as a consequence of the dysregulation of cellular homeostasis and of its multiple control mechanisms. Alternative RNA splicing is a key step of posttranscriptional gene expression regulation. It contributes to proteomic and functional diversity by enabling the production of distinct RNA isoforms from a single gene. Alternative splicing provides

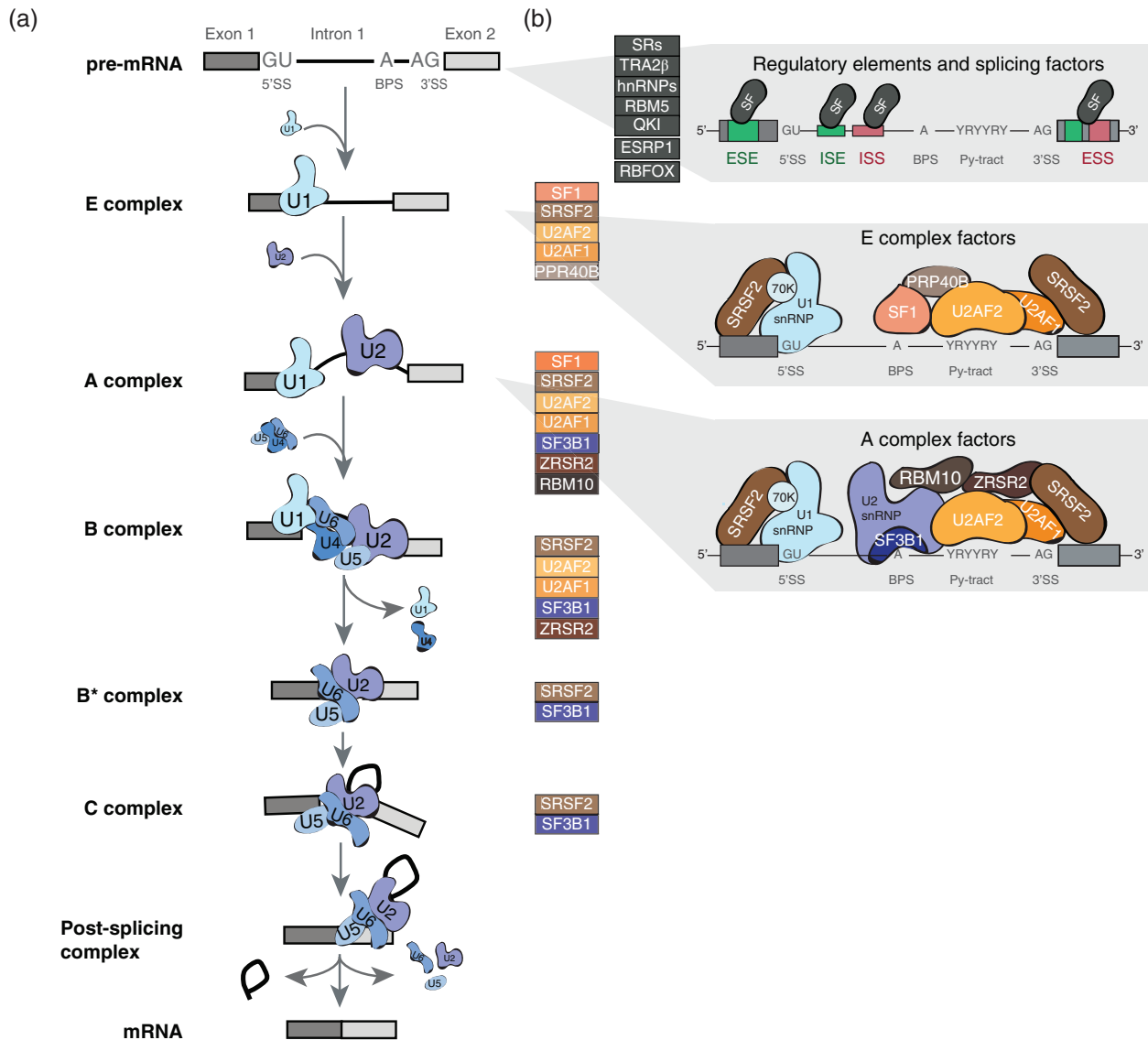
**ABBREVIATIONS:** ASO, antisense oligonucleotides; BH, Bcl-2 homology domain; BPS, branch point site; CLL, chronic lymphocytic leukemia; CMML, chronic myelomonocytic leukemia; ECM, extracellular matrix; EMT, epithelial-mesenchymal transition; HEAT, Huntington, Elongation Factor 3, PR65/A, TOR domain; lncRNA, long noncoding RNA; MDS, myelodysplastic syndromes; miRNA, microRNA; NMD, nonsense-mediated decay; PMO, phosphorodiamidate morpholino oligomer; PTC, premature termination codon; RARS, refractory anemia with ringed sideroblasts; RCMD-RS, refractory cytopenia with multilineage dysplasia and ringed sideroblasts; RNA, ribonucleic acid; RRM, RNA-recognition motif; SMA, spinal muscular atrophy; SR, serine/arginine-rich; sRNA, small nuclear RNA; snRNP, small nuclear ribonucleoproteins; SS, splice site; TLR, Toll-like receptor

transcriptional plasticity by controlling which RNA isoforms are expressed at a given time point in a given cell type. Cancer cells subvert this process to produce isoforms that benefit cell proliferation or migration, or unable escape from cell death (Figure 1; Biamonti, Catillo, Pignataro, Montecucco, & Ghigna, 2014).

RNA splicing is a highly controlled process that relies on *cis*-regulatory elements and *trans*-regulatory factors. The core splicing machinery, the spliceosome, removes introns and joins exons together to generate a mature mRNA molecule. This machinery assembles on the pre-mRNA molecule on specific sequences located at the exon–intron boundaries and that define the 3' and 5' splice sites (SSs) and the branch point site (BPS). The core human spliceosome, together with associated regulatory factors, comprise more than 300 proteins and five small nuclear RNAs (snRNAs) and catalyze both constitutive and regulated alternative splicing (Bertram et al., 2017; Hegele et al., 2012; Wahl & Luhrmann, 2015; Zhang et al., 2017). The architecture of the spliceosome undergoes dynamic remodeling in preparation for, during, and after the splicing reaction (Figure 2). In addition to the core spliceosome, regulatory proteins are involved in modulating the splicing reaction, and act as splicing activators or repressors by binding to exonic or intronic enhancer or silencer elements.



**FIGURE 1** Alternative-splicing alterations in cancer. Human tumors exhibit recurrent mutations in, or changes in the levels of, splicing regulatory factors, the latter of which can occur due to copy number changes, or alterations in the transcriptional, posttranscriptional, or posttranslational regulation of splicing factors in response to signaling changes (top panel). These changes in splicing-factor levels lead to alterations in the splicing of their downstream targets, promoting events that follow one of the following patterns: Exon skipping (ES), alternative 5' or 3' splice site (SS) selection (A5'SS or A3'SS), inclusion of mutually exclusive exons (MXE), or intron retention (IR; middle panel). Misregulated splicing of isoforms involved in key cellular pathways contributes to tumor initiation and progression. Examples of cancer hallmarks and associated tumor isoforms are indicated (bottom panel)



**FIGURE 2** Components of the core and regulatory splicing machinery that exhibit alterations in human tumors. (a) Graphical representation of the stepwise assembly of spliceosomal complexes on a pre-mRNA molecule and catalysis of the splicing reaction to generate mature spliced mRNA. First, the ATP-independent binding of U1 snRNP to the 5' splice site (5'SS) of the intron initiate the assembly of the "Early" or E complex on the pre-mRNA. In addition, SF1 and U2AF2 bind, respectively, to the branch point site (BPS) and the polypyrimidine tract (Py-tract). In the second step, the ATP-dependent interaction of U2 snRNP with the BPS leads to the formation of the A complex. This interaction is stabilized by the SF3a and SF3b protein complexes, as well as U2AF2 and U2AF1, and leads the displacement of SF1 from the BPS. Recruitment of the preassembled U4/U6/U5 tri-snRNP marks the formation of the catalytically inactive B complex. Major conformational changes, including release of U1 and U4, lead to spliceosome activation and formation of the B\* complex. The first catalytic step of splicing generates the C complex and results in the formation of the lariat. Complex C performs the second catalytic step of splicing, which results in the joining of the two exons. Postsplicing the spliceosome disassembles in an orderly manner, releasing the mRNA, as well as the lariat bound by U2/U5/U6. The snRNP are then further dissociated and recycled. (b) Spliceosomal core factors that exhibit recurrent somatic mutations in human tumors are listed next each complex (colored boxes) and are shown in more details for complexes E and A (right panels). In addition to core splicing factors, regulatory splicing factors (SF) that can bind to exonic or intronic splicing enhancer (ESE or ISE) or silencer (ESS or ISS) sequences to fine tune splicing are also found altered in human tumors (gray boxes)

Defects in alternative splicing are frequently found in human tumors and result either from mutations in splicing-regulatory elements of specific cancer genes or from changes in the regulatory splicing machinery (Climente-Gonzalez, Porta-Pardo, Godzik, & Eyras, 2017). Alterations of the splicing machinery are particularly important in cancer because they affect a network of downstream splicing targets, whereas a mutation affecting splicing of a single gene often affects only one isoform. RNA splicing regulators have recently emerged as a new class of oncoproteins or tumor suppressors, and contribute to disease progression by modulating RNA isoforms involved in the hallmarks cancer pathways. Dysregulation of alternative splicing is a fundamental process in cancer and provides a potentially rich source of novel therapeutic targets and biomarkers for disease progression. A better understanding of the regulators of the splicing machinery is a crucial step in understanding the role of RNA splicing in cancer. Here, we review the alterations in splicing regulatory factors detected in human tumors,

as well as the alternatively spliced isoforms that impact cancer hallmarks, and discuss how they contribute to disease pathogenesis. Finally, we summarize current efforts in targeting alternative splicing as cancer therapeutics.

## 2 | ALTERATIONS IN SPLICING REGULATORY COMPONENTS

### 2.1 | Splicing-factor mutations associated with malignancies

Recurrent somatic mutations in components of the human splicing machinery occur in human tumors, most frequently in hematological malignancies (Yoshida & Ogawa, 2014), suggesting that splicing-factor alterations are a hallmark of cancer. Interestingly, the two most frequently mutated splicing factors are SF3B1, a core component of U2 snRNP involved in BPS selection, and SRSF2, a serine/arginine-rich (SR) protein that acts both in alternative and constitutive splicing and interacts with U1 snRNP (Figure 2). Mutations in other splicing factors have been also identified, and the list is growing every day as more human tumors are sequenced. However, the functional consequences of most of these mutations and their roles in tumor progression remain to be characterized.

#### 2.1.1 | SF3B1 (alias SF3B155)

SF3B1, the key protein component of U2 snRNP, is crucial for formation of the spliceosomal A complex. SF3B1 interacts directly with the RNA-recognition motif (RRM) of U2AF2 as well as with SF3B14a, thus creating a stable complex that directs the recognition of the BPS by U2 snRNA (Wahl & Luhrmann, 2015). SF3B1 also interacts with nucleosomes suggesting that chromatin structure can modulate its splicing functions (Kfir et al., 2015). Recurrent somatic *SF3B1* mutations occur in myelodysplastic syndromes (MDS), including 83% of refractory anemia with ringed sideroblasts (RARS), an MDS variant with erythroid dysplasia and favorable outcomes, and 76% of refractory cytopenia with multilineage dysplasia and ringed sideroblasts (RCMD-RS) which carries a less favorable survival rate (Malcovati et al., 2011; Papaemmanuil et al., 2011; Rossi et al., 2011; Wang et al., 2011). *SF3B1* mutations cluster in exons 12–15, which encode HEAT repeats, a region important for the association of SF3B1 with SF3B14a (Yoshida et al., 2011). *SF3B1* missense mutations alter the recognition of alternative or cryptic 3'SS leading to differential splicing of transcripts, 70% of which are novel isoforms and 50% undergo nonsense-mediated decay (NMD; Alsafadi et al., 2016; Darman et al., 2015). In mouse models, this differential exon usage disrupts key pathways in hematopoiesis and iron metabolism and blocks erythroid differentiation, thus providing a basis for the pathogenesis of RARS and RCMD-RS (Dolatshad et al., 2015; Obeng et al., 2016). The K700E missense mutation, which accounts for more than half of *SF3B1* mutations in MDS patients and is associated with a better prognosis, promotes splicing of an isoform of the erythroid lineage transcription factor *TALI* that reduces erythroid differentiation *in vitro* (Jin et al., 2017; Papaemmanuil et al., 2011; Yoshida et al., 2011). *SF3B1* mutations are also detected in other cancers including 15% of chronic lymphocytic leukemia (CLL), in which *SF3B1* mutations are associated with an antiapoptotic role and correlate with poor overall prognosis (Quesada et al., 2012; Te Raa et al., 2015; Wang et al., 2011). Additionally, the K700E mutation is detected in 3% of pancreatic and 1.8% of breast cancers, both of which exhibit alterations in RNA splicing patterns (Biankin et al., 2012; Maguire et al., 2015). *SF3B1* mutations also occur in 1% of cutaneous melanomas and 20% of uveal melanomas in which they are associated with aberrant splicing, chromosome 3 disomy, and intermediate prognosis (Harbour et al., 2013; Kong, Krauthammer, & Halaban, 2014; Martin et al., 2013; Robertson et al., 2017).

#### 2.1.2 | SRSF2 (alias SC35)

The splicing factor SRSF2 belongs to the SR protein family and is involved in regulation of both alternative and constitutive splicing. SRSF2 coordinates recognition of the 5' and 3' SS by the U1 and U2 snRNPs, respectively. SR proteins recognize enhancer and silencer sequences in pre-mRNA exons and introns and thereby favor exon inclusion or skipping by recruiting or inhibiting spliceosome assembly (Wahl & Luhrmann, 2015). Mutations in *SRSF2* are frequently observed in hematologic malignancies including 10% of MDS, 31–47% of chronic myelomonocytic leukemia (CMML), and 2% of acute myeloid leukemia (AML; Yoshida et al., 2011). *SRSF2* mutations in MDS are associated with decreased overall survival and increased progression rate from MDS to AML (Zheng et al., 2017). Interestingly, *SRSF2* missense mutations cluster at proline 95, in a region proximal to the RRM domain, which confers the RNA-binding specificity (Meggenorfer et al., 2012; Yoshida et al., 2011). In mouse models, blood lineage-specific *SRSF2* knockout (KO) or heterozygous expression of *Srsf2*<sup>P95H</sup> causes defective hematopoiesis (Kim et al., 2015). The *SRSF2*<sup>P95H</sup> mutation induces splicing changes in mouse and human myeloid cell models, which likely result from alterations in pre-mRNA sequences recognized by the RRM of SRSF2 (Kim et al., 2015; Komeno et al., 2015; Kon et al., 2018; Zhang et al., 2015). Indeed, mutant SRSF2 exhibits increased binding specificity for the CCNG consensus sequence, whereas wild-type SRSF2 recognize both CCNG and GGNG sequences (Kim et al., 2015). This alteration in sequence specificity leads to the inclusion of a premature termination codon (PTC)-containing exon in



*EZH2*, a histone methyltransferase implicated in the pathogenesis of MDS (Kim et al., 2015). Finally, deletion of *Ezh2* in mouse hematopoietic stem cells causes MDS, providing a causal link between the *SRSF2* mutation, *EZH2* loss of function, and MDS (Sashida et al., 2014).

### 2.1.3 | U2AF1, ZRSR2, RBM10, and other splicing-factor mutations

While *SF3B1* and *SRSF2* are the most frequently mutated splicing factors in hematologic malignancies, other factors also exhibit recurrent mutations in MDS.

U2AF1 (alias U2AF35) is involved in the formation of the spliceosomal E complex. As a heterodimer with U2AF2 (U2AF65), it is responsible for the recognition of the 3'SS and BPS as well as for stabilizing U2 snRNA binding to the BPS (Wahl & Luhrmann, 2015). In addition to MDS, *U2AF1* is also mutated in 3% of lung adenocarcinomas (Imielinski et al., 2012). Missense mutations in U2AF1 occur almost exclusively at S34 and Q157, thus affecting the C-terminal zinc finger domain. Expression of U2AF1<sup>S34F</sup> in HeLa cells leads to an increase in PTC-containing transcripts, suggesting global splicing defects (Yoshida et al., 2011). *U2AF1* mutants disrupt proliferation in HeLa cells and exhibit a decreased ability to reconstitute the hematopoietic system when introduced into mouse hematopoietic stem cells, thereby convoluting the link between these mutations and MDS (Yoshida et al., 2011). However, a recent study described a gain-of-function role for mutant U2AF1 (Yip et al., 2017). When overexpressed in human hematopoietic progenitor cells, U2AF1<sup>S34F</sup> promotes lineage-specific splicing changes, most notably in *H2AFY* and *STRAP* isoforms, which are not rescued by coexpression of wild-type U2AF1. These splicing isoforms disrupt normal erythroid and granulomyelocytic differentiation in hematopoietic progenitors (Yip et al., 2017). Interestingly, expression of the canonical isoforms is capable of rescuing the differentiation defect (Yip et al., 2017). Taken together, these findings suggest that mutant *U2AF1* blocks terminal differentiation of hematopoietic cells, but does not grant a growth or survival advantage, and may therefore require further mutational hits to lead to MDS.

ZRSR2 is involved in the recognition of 3'SS in both major and minor introns, a class of intronic sequences recognized by the minor U12-dependent spliceosome (Turunen, Niemela, Verma, & Frilander, 2013). In addition, ZRSR2 also promotes the removal of the intron lariat and stitching of the adjacent exons (Shen, Zheng, Luecke, & Green, 2010). *ZRSR2* mutations in MDS lead to the retention of minor introns without affecting the major introns (Madan et al., 2015). In contrast to the hot-spot mutations in other factors, *ZRSR2* mutations are widely distributed and create loss-of-function mutants, thus suggesting that ZRSR2 functions as a tumor suppressor (Madan et al., 2015; Yoshida et al., 2011).

The RNA-binding protein RBM10 is a component of the prespliceosomal complex A. Mutations in *RBM10* are associated with the TARP syndrome, an X-linked recessive disorder with congenital heart malformation and developmental abnormalities, often associated with neonatal lethality (Johnston et al., 2010). Somatic mutations in *RBM10* are found in lung adenocarcinoma (Cancer Genome Atlas Research, N, 2014; Imielinski et al., 2012), including 21% of invasive lung adenocarcinoma (Vinayanuwattikun et al., 2016), as well as less frequently in nonanaplastic thyroid cancers (Ibrahimipasic et al., 2017), colorectal carcinoma (Giannakis et al., 2016), pancreatic adenocarcinoma, (Witkiewicz et al., 2015) and intraductal papillary mucinous neoplasm (Furukawa et al., 2011). *RBM10* mutations are widely distributed and create loss-of-function mutants, indicating that RBM10 functions as a tumor suppressor (Hernandez et al., 2016). Furthermore, the presence of *RBM10* mutations is associated with a significant reduction in *RBM10* expression in lung tumors, and is accompanied by changes in proliferation rates and in alternative splicing of RBM10 target genes (Zhao et al., 2017). For example, missense or truncating *RBM10* mutations found in lung cancer patients disrupts RBM10-mediated regulation of *NUMB* splicing, inducing a proproliferative isoform (Hernandez et al., 2016). Conversely, in pancreatic cancer, *RBM10* mutations are associated with longer survival in spite of histological features of aggressive disease (Witkiewicz et al., 2015).

Mutations in other components of the spliceosome, for example, *PRPF40B*, *U2AF2*, *SF3A1*, or *SF1*, occur sporadically in MDS patients. PRPF40B interacts with SF1 and U2AF2 to enhance the inclusion of exons with weak SSs, and regulates splicing of apoptotic isoforms of *FAS* and *BCL-x* (Becerra, Montes, Hernandez-Munain, & Sune, 2015). U2AF2 is involved in 3'SS recognition, and in some cases can promote exon skipping (Agrawal et al., 2016; Cho et al., 2015). SF3A1 interacts with both the U1 snRNA and U2 snRNP complex to mediate communication between the 5'SS and 3'SS complexes (Sharma, Wongpalee, Vashisht, Wohlschlegel, & Black, 2014). Additionally, PRPF40B and U2AF2 are also upregulated or downregulated in several solid tumors (Sebestyen et al., 2016), including melanoma, where U2AF2 promotes metastasis by regulating splicing of *CD44* (Zhang et al., 2016).

## 2.2 | Alterations in splicing-factor levels

In solid tumors, splicing factors exhibit frequent changes at the copy number or expression levels but are rarely mutated (Anczuków & Krainer, 2016). Splicing factors bind directly to pre-mRNA and regulate their downstream targets in a concentration-dependent manner (Long & Caceres, 2009); thus, changes in splicing-factor levels cause splicing deregulation in tumors even in the absence of mutations. Two major protein families play a critical role in the regulation of alternative

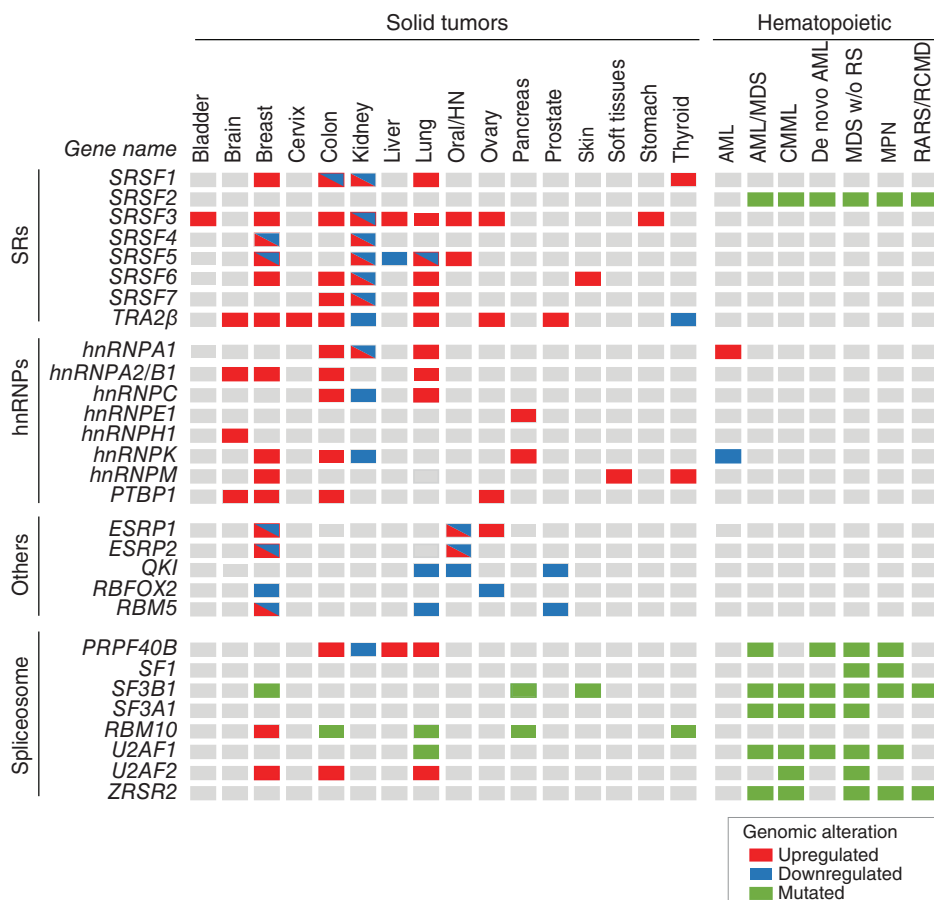
splicing through recognition of intronic or exonic enhancer and silencer sequences. The SR protein family is composed of 12 members (SRSF1-12) containing SR domains that contribute to protein–protein interactions, and one or two RRMs that allow sequence-directed binding (Long & Caceres, 2009). Heterogenous nuclear ribonucleoproteins (hnRNPs), which are a large and structurally diverse family of RNA-binding proteins with diverse roles in splicing, mRNA transport, and translation, often function as antagonists to SR-protein-regulated alternative splicing events (Geuens, Bouhy, & Timmerman, 2016). Below, we discuss in more detail several RNA-binding proteins that exhibit expression changes in human tumors, and for which there is compelling *in vitro* or *in vivo* evidence that their alterations affect cellular processes involved in transformation (Figure 3).

### 2.2.1 | SRSF1 (alias ASF/SF2)

*SRSF1* is a proto-oncogene that controls alternative splicing but also regulates other steps of RNA metabolism (Das & Krainer, 2014). *SRSF1* is frequently upregulated in breast, lung, colon, and bladder tumors, in part due to an amplification of Chr.17q23 (Anczuków, Rosenberg, et al., 2012; Ghigna et al., 2005; Kami et al., 2007). In breast cancer models, *SRSF1* overexpression promotes transformation *in vivo* and *in vitro* by enhancing proliferation and decreasing apoptosis (Anczuków, Rosenberg, et al., 2012). Additionally, *SRSF1* acts synergistically with *MYC*, and their coexpression correlates with higher tumor grade and decreased survival in breast and lung cancer patients (Anczuków, Rosenberg, et al., 2012; Das, Anczukow, Akerman, & Krainer, 2012; Ezponda et al., 2010; Kami et al., 2007). *SRSF1* oncogenic activity relies on the regulation of splicing isoforms involved in apoptosis (e.g., *BCL2L1*, *BCL2L11*, *BINI*), cell growth (e.g., *RPS6KB1*), cell survival (e.g., *MKNK2*), or motility (e.g., *RON*; Anczuków, Rosenberg, et al., 2012; Anczuków et al., 2015; Ghigna et al., 2005; Kami et al., 2007; Leu, Lin, Wu, & Ouyang, 2012). In lung cancer, *SRSF1* upregulation is associated with cisplatin and topotecan resistances (Jiang et al., 2016).

### 2.2.2 | SRSF3 (alias SRp20)

In addition to its role in splicing regulation, *SRSF3* is also involved in transcription termination, IRES-dependent viral RNA translation, and homologous recombination-mediated DNA repair (Bedard, Daijogo, & Semler, 2007; Cui et al., 2008; He & Zhang, 2015). Additionally, *SRSF3* together with *SRSF1* associates with hypophosphorylated chromatin, and controls G0/G1 re-entry (Loomis et al., 2009). *SRSF3* is overexpressed in lung, breast, ovarian, stomach, bladder, colon, liver, and



**FIGURE 3** Recurrent splicing-factor alterations detected in human tumors. Genomic alterations including expression changes and recurrent somatic mutations in splicing factors detected in more than 2% of tumors in several cohorts of patients, including TCGA data, are indicated per tumor type. Splicing-factor upregulation are depicted in red, downregulation in blue, and somatic mutations in green (see legend for details). Several splicing factors can be found both upregulated and downregulated in tumors of the same tissue, suggesting that distinct splicing-factor genomic alterations are associated with distinct tumor subtypes within the same tissue. AML, acute myeloid leukemia; AML/MDS, acute myeloid leukemia myelodysplastic syndrome; CMML, chronic myelomonocytic leukemia; HN, head and neck; MDS w/o RS, Myelodysplastic syndrome without ringed sideroblasts; RARS/RCMD, refractory anemia with ringed sideroblasts and refractory cytopenia with multilineage dysplasia and ringed sideroblasts; MPN, myeloproliferative neoplasm. See references in text

oral tumors, in part due to copy number changes on Chr.6p21 (Iborra et al., 2013; Jia, Li, McCoy, Deng, & Zheng, 2010; Peiqi et al., 2016), and shows variable expression in renal cancers (Jia et al., 2010; Sebestyen et al., 2016). *SRSF3* acts as a proto-oncogene as its overexpression is capable of transforming human fibroblasts in vitro, while its depletion causes growth arrest of cancer cell lines (Jia et al., 2010). *SRSF3* regulates alternative splicing of genes involved in tumorigenesis, such as isoforms *PKM2* that alters cell metabolism or *TP53 $\beta$*  that induces cellular senescence (Tang et al., 2013; Wang et al., 2012).

### 2.2.3 | SRSF6 (alias SRp55)

*SRSF6* is frequently upregulated in breast, lung, pancreatic, and colon cancers, in part due to an amplification of its locus (Karni et al., 2007). *SRSF6* overexpression synergizes with *MYC* to promote transformation of lung epithelial cell lines (Cohen-Eliav et al., 2013), while, its knockdown (KD) in lung carcinoma cells decreases proliferation and prevents tumor formation in immunocompromised mice (Cohen-Eliav et al., 2013). *SRSF6* promotes pro-oncogenic splice variants of the insulin receptor *INSR*, the tumor suppressor *DLG1*, and the downstream effector of the MAPK pathway *MKNK2* (Cohen-Eliav et al., 2013). *SRSF6* is also upregulated in multiple subtypes of skin cancer, and its overexpression in murine skin promotes splicing of cassette exons, coordinates wound healing, and induces hyperplasia (Jensen, Wilkinson, & Krainer, 2014). Conversely, PLX4720, a *BRAF* inhibitor, induces *SRSF6* expression in *BRAF*<sup>V600E</sup> melanoma cell lines, which in turn promotes splicing of the proapoptotic isoform *BIM-S* leading to increased cell death (Jiang et al., 2010). While *SRSF6* upregulation also correlates with increased *BIM-S* expression posttreatment, continued exposure to PLX4720 leads to drug resistance (Lai, Jiang, Farrelly, Zhang, & Hersey, 2012). Finally, *SRSF6* is downregulated in kidney tumors, which could indicate cell type-specific functions (Sebestyen et al., 2016).

### 2.2.4 | Other SR proteins

Other SR proteins are also altered in human tumors but have less well-defined roles in transformation. *SRSF5* is upregulated in breast tumors with lymph node metastasis (Huang, Shen, Wang, Wu, & Cheng, 2007) and oral tumors (Biselli-Chicote et al., 2017). *SRSF5* or *SRSF7* are upregulated in lung cancer, and their KD impacts cell proliferation (Kim et al., 2016). Interestingly, *SRSF5* shows broad downregulation in breast, lung, liver, and kidney tumors (Sebestyen et al., 2016). *SRSF4* regulates alternative splicing events leading to cell death in cisplatin-treated breast cancer cells (Gabriel et al., 2015). Renal tumors show a broad differential expression of various SR proteins (Piekielko-Witkowska et al., 2010). Coexpression of these splicing factors may indicate that the robust network of splicing changes in cancer cells is due to an imbalance among multiple splicing factors rather than differential splicing regulated by a single splicing factor.

### 2.2.5 | TRA2 $\beta$

*TRA2 $\beta$*  is an SR-like protein that regulates alternative splicing and is essential for embryonic development (Mende et al., 2010). Overexpression of *TRA2 $\beta$*  occurs in lung, breast, ovarian, cervical, prostate, colon, and central nervous system tumors, where it correlates with an aggressive phenotype, whereas downregulation is detected in thyroid and renal cancers (Diao et al., 2015; Fischer et al., 2004; Gabriel et al., 2009; Iborra et al., 2013; Ji et al., 2014; Kajita et al., 2013; Sebestyen et al., 2016; Watermann et al., 2006; Yang et al., 2015). *TRA2 $\beta$*  overexpression promotes proliferation in human lung carcinoma cells, while its KD induces apoptosis (Ji et al., 2014). *TRA2 $\beta$*  overexpression in human glioma cells promotes proliferation and migration (Yang et al., 2015), and *TRA2 $\beta$*  KO leads to defects in murine brain development, highlighting the importance of *TRA2 $\beta$*  homeostasis in neurogenesis (Storbeck et al., 2014). *TRA2 $\beta$*  regulates the inclusion of *CD44* exons v4 and v5 in breast tumors (Watermann et al., 2006), and inclusion of estrogen receptor alpha *ER $\alpha$*  exon 7, creating a dominant negative isoform in endometrial tumors (Hirschfeld et al., 2015). Interestingly, lung tumors exhibit a rare fusion protein between *TRA2 $\beta$*  and *DNAH5* that preferentially localizes to the cytoplasm, activates ERK1/2 through inhibition of SIRT6, and promotes lung cancer (Li et al., 2016).

### 2.2.6 | hnRNPA1

hnRNPA1 regulates alternative splicing and translation, and is overexpressed in blood, lung, and colorectal malignancies (Liu, Zhou, Lou, & Zhong, 2016; Mayeda & Krainer, 1992; Park et al., 2015; Sebestyen et al., 2016; Song et al., 2017). hnRNPA1 upregulation in lung adenocarcinoma is associated with increased tumor staging; conversely, hnRNPA1 KD decreases cell proliferation and induces cell cycle arrest in lung cancer cell lines (Liu, Zhou, et al., 2016). In response to ultraviolet radiation, hnRNPA1 expression is increased in skin cells, consequently modulating splicing of *HDM2* and promoting cell survival by activating the mTOR pathway (Feng et al., 2017; Feng, Li, Tong, Tang, & Wu, 2016). Furthermore, hnRNPA1 is upregulated in AML, where it functions to prevent myeloid differentiation by binding to the 3'-UTR and thereby preventing translation of C/EBP $\alpha$  mRNA, a critical transcription factor for myelopoiesis (Song et al., 2017).

### 2.2.7 | hnRNPA2/B1

hnRNPA2/B1, a splicing regulator closely related to hnRNPA1, is frequently overexpressed in lung, breast, colorectal, and brain tumors (Fielding, Turnbull, Prime, Walshaw, & Field, 1999; Golan-Gerstl et al., 2011; Sebestyén et al., 2016; Zhou et al., 2001). Upregulation of hnRNPA2/B1 in bronchial lavage specimens predicts the diagnosis of a lung neoplasm with high sensitivity and specificity (Fielding et al., 1999), and its degree of overexpression correlates with microsatellite instability (Zhou et al., 2001), increased tumor stage, and decreased overall survival (Qu, Liu, Zhong, Li, & Zhang, 2015). hnRNPA2/B1 mediates its tumorigenic effect in glioblastoma through alternative splicing of key oncogenes and tumor suppressors. For example, hnRNPA2/B1 overexpression causes skipping of *RON* exon 11, creating an oncogenic isoform involved in cell motility; skipping of exon 11 in the insulin receptor *INSR* leading to an isoform with altered substrate specificity that binds to broader range of mitogens; or inclusion of exon 12a in the tumor suppressor *BINI* creating an isoform that is unable to stimulate apoptosis (Golan-Gerstl et al., 2011).

### 2.2.8 | hnRNPK

hnRNPK is a splicing factor that can act as a tumor suppressor but also exhibits oncogenic functions. Heterozygous deletion of 9q, where hnRNPK is located, is a characteristic of AML and results in hnRNPK decreased expression and haploinsufficiency (Gallardo et al., 2015; Sweetser et al., 2005). hnRNPK interacts directly with *C/EBP $\alpha$*  mRNA, and heterozygous hnRNPK KO mice express low levels of the *C/EBP $\alpha$*  p42 isoform and eventually develop abnormal myelopoiesis (Gallardo et al., 2015; Song et al., 2017). *hnRNPK* expression is also decreased in renal tumors (Sebestyén et al., 2016). Consistent with its role as a tumor suppressor, hnRNPK is an HDM2-regulated cofactor for p53, and its expression increases upon DNA damage (Moumen, Masterson, O'Connor, & Jackson, 2005). Furthermore, hnRNPK KD leads to defects in DNA repair and to increased DNA damage after gamma irradiation (Moumen et al., 2005; Wiesmann et al., 2017). However, hnRNPK also exhibits oncogenic functions and is upregulated in breast, colorectal, and pancreatic cancer tissues and cell lines (Carpenter et al., 2006; Gao et al., 2013; Mandal et al., 2001; Zhou, Shanas, Nelson, Bhattacharyya, & Shi, 2010). For example, inhibition of hnRNPK in human cancer cells decreases cell motility, whereas its upregulation increases proliferation and migration (Gao et al., 2013; Inoue, Sawata, Taira, & Wadhwa, 2007). In colorectal and pancreatic tumors and cell lines, oncogenic hnRNPK is translocated from the nucleus to the cytoplasm, thus suggesting a potential explanation for its ability to act as either an oncogene or a tumor suppressor (Carpenter et al., 2006; Inoue et al., 2007; Zhou et al., 2010).

### 2.2.9 | Other hnRNPs

Upregulation of hnRNPM is detected in metastatic breast tumor (Xu et al., 2014). hnRNPM regulates epithelial-mesenchymal transition (EMT) in breast epithelial cells, in part by promoting splicing of the *CD44s* isoform, and by altering TGF- $\beta$  signaling (Xu et al., 2014). hnRNPM upregulation is also a poor prognostic factor for Ewing's sarcoma, where inhibition of the PI3K/AKT/mTOR pathway causes broad transcriptome changes mediated by hnRNPM-regulated splicing events (Passacantilli, Frisone, De Paola, Fidaleo, & Paronetto, 2017). Additionally, hnRNPH1 contributes to the aggressiveness of glioblastoma via alternative splicing of *IG20/MADD* and *RON*, creating antiapoptotic and promotility protein isoforms (Lefave et al., 2011). Moreover, hnRNPC is upregulated in lung and colorectal cancers, and downregulated in kidney cancers (Sebestyén et al., 2016). hnRNPC acts as a tumor suppressor and alters DNA damage repair by binding to *BRCA1*, *BRCA2*, *RAD51*, and *BRIP1* mRNA and modulating the inclusion of intronic Alu transposable elements (Anantha et al., 2013). hnRNPE1 upregulation in pancreatic cancer is associated with metastasis and promotes alternative splicing of integrin  $\beta$ 1, a transmembrane protein involved in cell adhesion (Jiang, Li, Tian, Li, & Yang, 2017). Finally, PTBP1, also known as hnRNPI is upregulated in breast, brain, colon, endometrial, and ovarian tumors and cell lines (He et al., 2007; He, Ee, Coon, & Beck, 2004; Jin, McCutcheon, Fuller, Huang, & Cote, 2000; Takahashi et al., 2015; Wang et al., 2008). PTBP1 overexpression increases proliferation, anchorage-independent growth, and invasion in cancer cell lines, but does not transform murine fibroblasts (He et al., 2014; Wang, Norton, et al., 2008).

### 2.2.10 | Other splicing factors

The epithelial-specific splicing factors ESRP1 and ESRP2 affect splicing of target genes involved in EMT, including *CD44*, *ENAH*, *FGFR2*, and *RAC1* (Chen et al., 2017; Dittmar et al., 2012; Shapiro et al., 2011; Warzecha et al., 2010; Warzecha, Sato, Nabet, Hogenesch, & Carstens, 2009; Warzecha, Shen, Xing, & Carstens, 2009). They are often upregulated in normal epithelium but downregulated in invasive fronts (Ishii et al., 2014). Paradoxically, they have been assigned both a tumor suppressor and an oncogenic function (Horiguchi et al., 2012; Leontieva & Ionov, 2009; Yae et al., 2012).

Similarly, the splicing factor RBFOX2 has been linked with EMT, and regulates splicing targets in breast, pancreatic, and colon tumors (Danan-Gotthold et al., 2015; Lapuk et al., 2010; Shapiro et al., 2011; Venables et al., 2013).



Additionally, splicing factors RBM5 and RBM10 are found upregulated or downregulated in several solid tumors, and are implicated in the splicing of apoptotic proteins BAX and BCL-x, and the notch pathway regulator NUMB (Bechara, Sebestyen, Bernardis, Eyra, & Valcarcel, 2013; Imielinski et al., 2012; Mourtada-Maarabouni & Williams, 2002; Oh et al., 2006; Rintala-Maki et al., 2007; Sutherland, Wang, & Robinson, 2010).

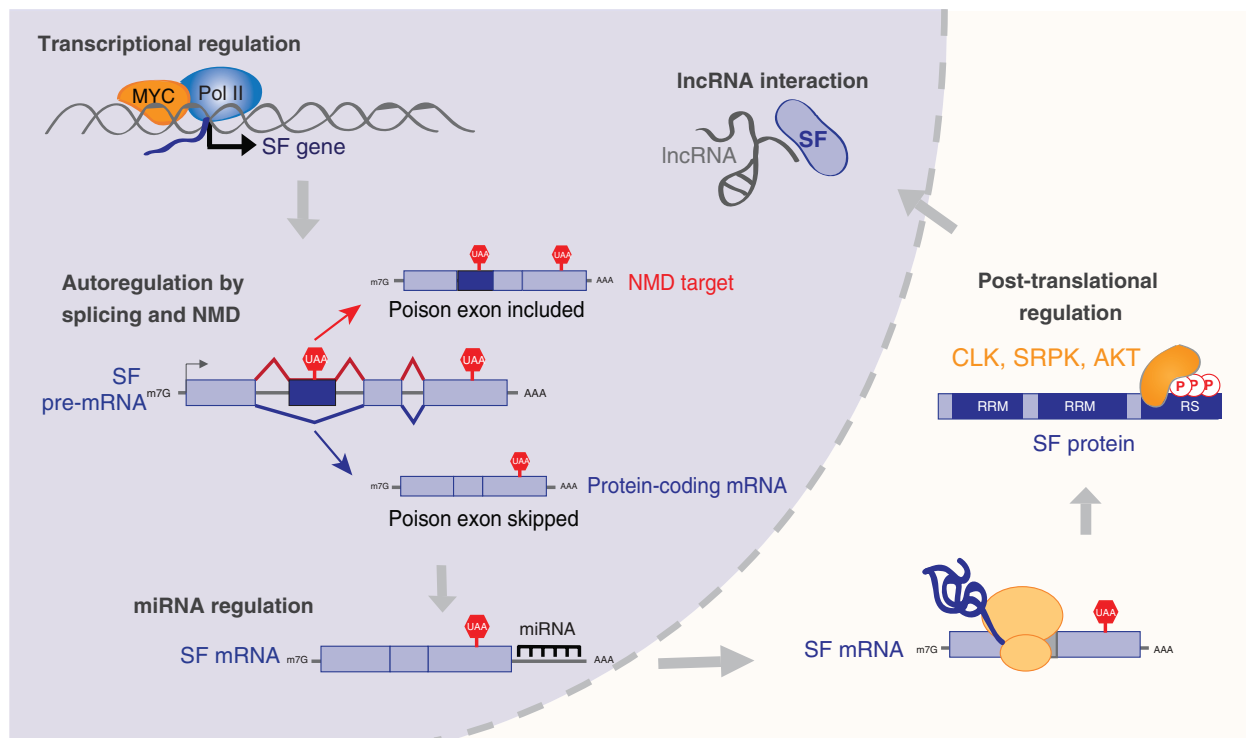
Finally, QKI downregulation is a common event in several solid tumors and is associated with poor prognosis (Lu et al., 2014; Zhao et al., 2014; Zong et al., 2014). Interestingly, MYB-QKI fusions have been identified as a driver event in glioma (Bandopadhyay et al., 2016).

### 2.3 | Defects in pathways regulating splicing factors

Alterations in splicing-factor levels can be explained by gene amplifications or deletions only in a fraction of the tumors that exhibit splicing-factor defects (Anczuków & Krainer, 2016; Sebestyen et al., 2016). RNA splicing is a highly regulated process and hence the splicing regulators are themselves tightly regulated. Differential regulation of splicing factors can thus affect their levels and activities in tumors even in the absence of copy-number changes or mutations. Here, we discuss examples of transcriptional and posttranscriptional regulation that could explain the defects in splicing-factor levels observed in tumors (Figure 4).

#### 2.3.1 | Transcriptional regulation

The transcription factor MYC is a well-studied oncogene that is overactive in a variety of cancers. However, part of MYC's oncogenic potential may result from its ability to regulate splicing factors at the transcriptional level. The oncogenic factor SRSF1 is a direct transcriptional target of MYC, and synergizes with MYC to promote tumorigenesis in breast and lung tumors (Anczuków, Rosenberg, et al., 2012; Das et al., 2012). In gliomas, c-MYC drives the expression of PTBP1, hnRNPA1, and hnRNPA2/B1, all of which favor splicing of the *PKM2* isoform used in aerobic glycolysis (David, Chen, Assanah, Canoll, & Manley, 2010). PTBP1 or hnRNPA1 are directly regulated by n-MYC in neuroblastomas, where they control cell survival and correlate with a worse prognosis (Zhang et al., 2016). Conversely, KD of hnRNPA1 or hnRNPA2 reduces splicing of *PKM2* and alters cell metabolism (Clower et al., 2010). Moreover, MYC-driven tumors exhibit differential expression of spliceosomal components or their regulators, for example, BUD31 and PRMT5, as well as of their downstream targets (Hsu et al., 2015; Koh et al., 2015). In addition to MYC, other pathways control splicing-factor transcriptional activation. In colorectal tumors, the Wnt signaling pathway, which is frequently dysregulated through *APC* mutation, directly controls *SRSF3*



**FIGURE 4** Defects in splicing-factor regulation lead to changes in splicing-factor levels, activity, and cellular localization. Schematic representation of the transcriptional, posttranscriptional, and posttranslational steps that impact the expression of a splicing factor (SF). See text for specific examples and references

level (Corbo et al., 2012; Goncalves, Matos, & Jordan, 2008). The transcription factors Ets1 and HSF1 mediate basal and oxidant-stress responses by inducing *TRA2 $\beta$*  expression in colorectal cancer (Kajita et al., 2013). Together, these pathways represent key points for potential-targeted therapies that could be used to disrupt splicing regulators in tumors.

### 2.3.2 | Alternative splicing and nonsense-mediated mRNA decay

The expression of many RNA-binding proteins is regulated through the splicing of their own pre-mRNA. SR proteins autoregulate their levels by enhancing the inclusion of PTC-containing cassette exons, termed “poison exons,” within their mRNA. These transcripts are degraded by NMD creating a negative feedback loop when SR-protein levels become elevated (Jumaa & Nielsen, 1997; Saltzman et al., 2008; Stoilov, Daoud, Nayler, & Stamm, 2004; Sun, Zhang, Sinha, Karni, & Kraimer, 2010; Sureau, Gattoni, Dooghe, Stevenin, & Soret, 2001). Although autoregulation has not been experimentally demonstrated for all SR proteins, poison exons are highly conserved throughout evolution, and isoforms containing these ultraconserved regions are detected in human (Lareau, Inada, Green, Wengrod, & Brenner, 2007; Ni et al., 2007). While SR proteins autoregulate through inclusion of poison exons, autoregulation of hnRNPs involves both inclusion and skipping of PTC-containing regions (Hase, Yalamanchili, & Visa, 2006; McGlincy et al., 2010; Ni et al., 2007; Rossbach et al., 2009).

Splicing factors can also cross regulate the expression of other RNA-binding proteins, through splicing of their respective ultraconserved regions (Jumaa & Nielsen, 1997; Rossbach et al., 2009). In murine cells, exogenous SRSF3 enhances inclusion of its own poison exon, while SRSF1 overexpression inhibits *SRSF3* exon inclusion (Jumaa & Nielsen, 1997). Similarly, RBFOX2, which coordinates mesenchymal splicing networks in cancer tissues, regulates alternative splicing of a number of different RNA-binding proteins (Jangi, Boutz, Paul, & Sharp, 2014; Jangi & Sharp, 2014; Venables et al., 2013). Alternative splicing of murine Quaking, *Qk*, generates three isoforms *Qk5*, *Qk6*, and *Qk7*, that exhibit both auto and cross regulation. Specifically, *Qk5* enhances expression of total *Qk* mRNA while also binding to its own 3'-UTR and downregulates *Qk5* protein expression. *Qk6* negatively regulates protein expression of *Qk5*, while also stimulating translation of *Qk6* mRNA (Fagg et al., 2017). Human lung tumors express high levels of *QKI5* vs. *QKI6* (de Miguel et al., 2016), suggesting that this extensive network of auto and cross regulation could exist in humans and that a similar mode of regulation may exist across other splicing factors.

### 2.3.3 | Regulation by lncRNAs

Long noncoding RNAs (lncRNAs) are involved in the regulation of alternative splicing, for example by facilitating splicing-factor binding to exonic splicing silencer or intronic splicing silencer elements. lncRNAs *PCGEM1* and *BC200* regulate alternative splicing of *AR* and *BCL-x*, respectively, through interaction with hnRNPA1, hnRNPA2/B1, or U2AF65 (Singh et al., 2016; Zhang et al., 2016). Moreover, *MALAT1* modulates alternative splicing by influencing SR protein subnuclear localization (Tripathi et al., 2010). Additionally, *LINC01133* sequesters SRSF6, and its KD allows SRSF6 to promote EMT and metastasis in colorectal cancer mouse models (Kong et al., 2016).

### 2.3.4 | Regulation by miRNAs

MicroRNAs (miRNAs) can act as tumor suppressors or as oncogenes and can play a role in the regulation of splicing-factor expression. Expression of SRSF7 is regulated by miR-30a-5p and miR-181a-5p in renal tumors, and this miRNA-mediated suppression of SRSF7 alters splicing patterns (Boguslawska et al., 2016; Piekliko-Witkowska et al., 2010). Conversely, SRSF7 regulates splicing and expression of these miRNAs, thus forming a negative feedback loop (Boguslawska et al., 2016). miR-30a-5p is upregulated in glioma cells by Wnt signaling and acts as an oncogene, perhaps superseding the protumorigenic roles of either SRSF7 and miR-30a-5p in different cancers (Wang et al., 2015). Additionally, SRSF1 is the target of miR-28, miR-505, miR-10a, and miR-10b (Meseguer, Mudduluru, Escamilla, Allgayer, & Baretino, 2011; Verduci et al., 2010). The oncogenic lymphoma/leukemia-related factor (LRF) represses miR-28 and miR-505 expression and potentially leads to increased SRSF1 expression in tumors (Verduci et al., 2010). Upregulation of miR-10a and miR-10b in response to retinoic acid causes terminal differentiation of neuroblastoma cells, possibly through repression of SRSF1 levels (Meseguer et al., 2011). Additionally, miR-10a and miR-10b also target *TRA2 $\beta$* , which promotes proliferation in glioblastoma cells (Meseguer et al., 2011; Yang et al., 2015). Finally, miR-451 targets hnRNPA1 in human leukemia cells, potentially acting as a tumor suppressor by repressing hnRNPA1 expression (Song et al., 2017).

### 2.3.5 | Posttranslational regulation

SR proteins undergo extensive posttranslational modifications which impacts their subcellular localization and thus activity. For example, phosphorylation of the C-terminal RS domain by SR-specific protein kinases (SRPKs) allows nuclear import via interactions with transportin-SR2 (Kataoka, Bachorik, & Dreyfuss, 1999; Koizumi et al., 1999; Lai, Lin, Huang, Tsai, & Tarn, 2000). Once in the nucleus, Cdc-like kinases (CLK) control the nuclear distribution of SR proteins (Colwill et al.,

1996; Duncan, Stojdl, Marius, Scheit, & Bell, 1998; Ngo et al., 2005). Additionally, SRPK and CLK kinases can alter the functionality of SR proteins independently of their effect on splicing-factor localization. For example, CLK2-mediated phosphorylation prevents the autoregulation of TRA2 $\beta$  (Stoilov et al., 2004). CLK2 acts as an oncogene in breast cancer where it alters splicing, possibly linking the regulation of splicing-factor phosphorylation and splicing dysregulation in cancer (Yoshida et al., 2015). Moreover, the oncogenic kinase AKT directly phosphorylates SRSF1, SRSF7, and SRSF5 (Blaustein et al., 2005; Patel et al., 2001). AKT promotes phosphorylation and subsequent activation of SRPKs, thereby indirectly regulating SR proteins (Zhou et al., 2012). Finally, SRPK1 is overexpressed in various cancer types including breast, colon, pancreatic, prostate, and ovarian (Hayes, Carrigan, & Miller, 2007; Mavrou et al., 2015; Odunsi et al., 2012).

### 3 | TUMOR-ASSOCIATED ALTERNATIVELY SPLICED ISOFORMS

The hallmarks of cancer described by Hanahan and Weinberg (2011) can be used to understand the capabilities acquired by cells during tumor development and progression. These 10 hallmarks include a cancer cell's ability to sustain proliferation, avoid cell death, invade and metastasize, and even deregulate cellular energetics. Alternative splicing leads to the production of tumor-associated isoforms that function within these hallmarks to promote tumorigenesis. Here, we describe several alternative splicing events, providing compelling evidence for their role in tumorigenesis and discuss how these isoforms relate to the cancer hallmarks (Figure 5).

#### 3.1 | Isoforms sustaining proliferation

##### 3.1.1 | *RPS6KB1*

The gene *RPS6KB1* encodes the protein S6K1, a substrate of mTOR, which controls translation and cell growth. The full-length protein is produced from the *RPS6KB1*-isoform 1 (*RPS6KB1-1*), whereas inclusion of three cassette exons 6a, 6b, and 6c generates the shorter isoform 2 (*RPS6KB1-2*; Karni et al., 2007). A PTC in exon 6c causes the shorter isoform to lack a portion of the kinase domain (Ben-Hur et al., 2013; Karni et al., 2007). Alternative splicing of *RPS6KB1-2* is regulated by SRSF1, an oncogenic factor overexpressed in human breast tumors (Karni et al., 2007). High levels of *RPS6KB1-2* are detected in breast and lung cancer cell lines and primary tissues (Ben-Hur et al., 2013; Mei, Wang, Fan, & Lin, 2016). Expression of *RPS6KB1-2* in nontransformed cell lines promotes transformation, whereas KD in breast, prostate, and lung cancer cells decreases proliferation and tumor growth. Conversely, KD of *RPS6KB1-1* in cancer cell lines induced transformation (Ben-Hur et al., 2013; Mei et al., 2016). These data suggest that *RPS6KB1-1* plays a role as a tumor suppressor whereas *RPS6KB1-2* contributes to cell proliferation and tumor growth via mTORC1 and 4E-BP1 phosphorylation.

##### 3.1.2 | *FGFR*

*FGFR1*, *FGFR2*, and *FGFR3* belong to the fibroblast growth factor receptor (FGFR) family, members of which are involved in cell proliferation and migration during embryologic development, but also during tissue repair and wound healing of adult tissue. These receptors contain a cytoplasmic kinase domain, a transmembrane domain, and an extracellular ligand-binding portion that consists of three immunoglobulin domains, Ig-I to III (Gong, 2014). The second half of Ig-II is generated by alternative splicing of one of two mutually exclusive exons (MXE)—exon 8 or 9—to generate isoform *FGFR-IIIb* or *FGFR-IIIc*, respectively. This differential splicing, regulated by splicing factors hnRNPH1, hnRNPF, ESRP1, and ESRP2, changes the binding specificity of the FGF ligand (Gong, 2014; Mauger, Lin, & Garcia-Blanco, 2008; Warzecha, Sato, et al., 2009). Increased levels of *FGFR-IIIc* isoforms are detected in a variety of tumors, and correlate with tumor progression, increased grading, and invasiveness (Kawase et al., 2010; Matsuda, Hagio, Seya, & Ishiwata, 2012; Sonvilla et al., 2010). For example, increased expression of *FGFR2-IIIc* is detected in renal, endometrial, pancreatic, and colorectal carcinoma compared with normal tissues (Ishiwata et al., 2012; Matsuda et al., 2012; Peng, Kudo, Fujii, Teduka, & Naito, 2014; Zhao et al., 2013). *FGFR2-IIIb*, but not *FGFR2-IIIc*, is expressed in nontransformed and noninvasive breast cancer cells, whereas *FGFR2-IIIc* is detected in invasive cell lines (Cha, Lambert, Reuther, & Der, 2008). Most renal tumor samples have high levels of *FGFR2-IIIc*, but those tumors with high *FGFR2-IIIb* expression have a lower grade and stage, and are associated with longer survival (Zhao et al., 2013). Furthermore, expression of *FGFR-IIIb* isoforms is tumor suppressive in vitro and in vivo in bladder cancer cells, whereas *FGFR-IIIc* isoforms promote tumor growth, invasion, and metastasis in colorectal, pancreatic, and cervical cancer cells (Ishiwata et al., 2012; Kawase et al., 2010; Kornmann et al., 2002; Ricol et al., 1999; Sonvilla et al., 2010). However, a high *FGFR-IIIc/IIIb* ratio is not always correlated with poor prognosis (Peng et al., 2014), suggesting that *FGFR* isoforms may exhibit different functions in specific tissues or tumors.

In addition to their role in cell proliferation, *FGFR* isoforms also impact EMT, a key step in tumor dissemination and metastasis. The expression of *FGFR-IIIb* isoforms correlates with epithelial markers and *FGFR-IIIc* with mesenchymal

Cancer hallmark	Gene name	Splicing event type, isoform structure and function	Tumor types											Experimental evidence				Splicing regulator
			Bladder	Breast	Colorectal	Gynecologic	Head & neck	Hematologic	Kidney	Liver	Lung	Pancreatic	Prostate	Skin	Stomach	Other	Cell lines OE	
+	BIN1	ES <i>BIN1</i> Proapoptotic	<input type="checkbox"/>	SRSF1, HNRNPA2/B1														
		<i>BIN1+12A</i> antiapoptotic	<input type="checkbox"/>															
+	BCL2L1	A5'SS <i>BCL-xS</i> Pro-apoptotic	<input type="checkbox"/>	SRSF1, Sam68, RBM4, RBM25, RBM5, RBM10, HNRNPA1, PTBP1, HNRNPA2B1														
		<i>BCL-xL</i> antiapoptotic	<input type="checkbox"/>															
+	BCL2L11	ES <i>BIM-EL, -L, -S</i> Pro-apoptotic	<input type="checkbox"/>	SRSF1, SRSF6, PTBP1, HNRNPC														
		<i>BIM-y</i> antiapoptotic	<input type="checkbox"/>															
+	CASP2	ES <i>CASP-2L</i> Proapoptotic	<input type="checkbox"/>	SRSF3, RBM5														
		<i>CASP-2S</i> antiapoptotic	<input type="checkbox"/>															
↻	CCND1	IR <i>CCND1-a</i> Pro-proliferative	<input type="checkbox"/>	SRSF2, TRA2β, ESRP1, ESRP2, HNRNPA1, HNRNPL														
		<i>CCND1-b</i> Pro-invasive	<input type="checkbox"/>															
↻	CD44	ES <i>CD44s</i> Mesenchymal	<input type="checkbox"/>	SRSF2, TRA2β, ESRP1, ESRP2, HNRNPA1, HNRNPL														
		<i>CD44v</i> Epithelial	<input type="checkbox"/>															
		ES <i>ENAH-11a</i> Epithelial antiinvasive	<input type="checkbox"/>															
↻	ENAH	ES <i>ENAHΔv6</i> Mesenchymal pro-invasive	<input type="checkbox"/>	ESRP1, ESRP2														
		ES <i>ENAH-INV</i> Mesenchymal proinvasive	<input type="checkbox"/>															
		ES <i>FAS-FL</i> Proapoptotic	<input type="checkbox"/>															
+	FAS	<i>sFAS</i> antiapoptotic	<input type="checkbox"/>	HNRNPA1, TIA1, RBM5, PTBP1, EWS														
		MXE <i>FGFR-IIIb</i> Tumor-suppressive epithelial	<input type="checkbox"/>	HNRNPH1, HNRNPF, ESRP1, ESRP2														
↻	FGFR	<i>FGFR-IIIc</i> Proliferative, proinvasive mesenchymal	<input type="checkbox"/>															
		ES <i>HER2-FL</i> Proliferative	<input type="checkbox"/>	SRSF3, HNRNPH1														
↻	HER2	<i>d16HER2</i> Proliferation, proinvasive	<input type="checkbox"/>															
		ES <i>HRAS-IDX</i> Proliferative	<input type="checkbox"/>	SRSF3, HNRNPH1														
↻	HRAS	<i>HRAS-FL</i> Tumor suppressive	<input type="checkbox"/>															
		A5'SS <i>KLF6-FL</i> Tumor suppressive	<input type="checkbox"/>	SRSF1, TGF-β1, RAS signalling														
↻	KLF6	<i>KLF6-SV1</i> Proliferative proinvasive	<input type="checkbox"/>															
		ES <i>MCL-1ES</i> Proapoptotic	<input type="checkbox"/>	SRSF1														
+	MCL1	<i>MCL-1S</i> Proapoptotic	<input type="checkbox"/>															
		<i>MCL-1L</i> antiapoptotic	<input type="checkbox"/>															
↻	MKNK2	ES <i>MKNK2-a</i> Proapoptotic, antiproliferative	<input type="checkbox"/>	SRSF1, SRSF6														
		<i>MKNK2-b</i> Antiapoptotic, proproliferative	<input type="checkbox"/>															

**FIGURE 5** Tumor-associated isoforms representative of the cancer hallmarks. Splicing event type, isoform structure, tumor expression, and experimental evidence for selected alternative splicing isoforms detected in human tumors. For simplicity, only the alternatively spliced sequences and the flanking exons and are shown (not at scale). The type of splicing event is indicated: ES, exon skipping; MXE, mutually exclusive exons; 5'ASS, 5' alternative splice site selection; IR, intron retention. The corresponding isoforms are shown in red or blue and their respective functions are indicated when known, to the right of the schematic figure of the isoform. The cancer hallmark associated with the red isoform is indicated in the left-hand column (see legend for details). "Tumor types," indicates, using dark-colored rectangles, the expression of tumor-associated isoforms in each of the indicated tumor types ("other tumors" include: adrenal, gallbladder, ampullary, bone, and brain; "gynecological tumors" include: ovarian, cervical, and uterine; "head and neck" tumors include: oral, head and neck, tongue, esophageal, and thyroid). "Experimental evidence" indicates, using dark gray rectangles, the expression and functional evidence for each isoform based the following experiments: (1) overexpression (OE) or knockdown (KD) in cell lines, (2) tumor xenografts, (3) expression in primary tissue, or (4) expression in TCGA RNA-sequencing data. Known splicing regulatory proteins are listed for each gene. See text for references





### 3.1.5 | *CCND1*

*CCND1* undergoes alternative splicing to generate two isoforms: cyclin D1a, the conventional isoform, and cyclin D1b, which lacks the C-terminal protein domains. Usage of an alternative 5'SS in exon 4 introduces a PTC, and the resulting D1b isoform lacks the GSK-3 $\beta$  phosphorylation site encoded by exon 5. This causes the protein to remain in the nucleus (Lu, Gladden, & Diehl, 2003; Solomon et al., 2003). Increased expression of *CCND1b* is observed in breast, lung, and prostate tumors (Burd et al., 2006; Li et al., 2008; Wang et al., 2008; Wu et al., 2014). However, both *CCND1a* and *CCND1b* are expressed in lymphoma, bladder, cervical, esophageal, and breast cancer (Kim et al., 2009; Krieger, Gauduchon, Roussel, Troussard, & Sola, 2006; Lu et al., 2003; Millar et al., ; Wang et al., 2014). *CCND1b* expression, but not *CCND1a*, correlates with tumor grade, metastasis, and patient survival in lung and breast cancer (Li et al., 2008; Millar et al., ; Wei et al., 2011). Interestingly, both isoforms enhance tumor formation, although through different mechanisms. Cyclin D1a promotes cell proliferation and G1/S transition, while cyclin D1b impacts invasion and metastasis (Augello et al., 2013; Kim et al., 2009, 2016; Lu et al., 2003; Millar et al., ; Wu et al., 2014). Cyclin D1b is unable to phosphorylate RB, which is required for cell cycle progression, thus the D1b isoform lacks the proliferative effects of D1a (Kim et al., 2009; Solomon et al., 2003; Wang, Dean, et al., 2008; Wang, Wei, et al., 2014). However, the role of cyclin D1b in promoting tumor growth remains controversial with several studies claiming it activates proliferation (Millar et al., ; Wei et al., 2011), while others stating it inhibits proliferation (Wang, Wei, et al., 2014). Therefore, tumors that express both cyclin isoforms may have advantages in proliferation as well as invasion, and the two isoforms are likely to play distinct roles in different cell types.

## 3.2 | Isoforms preventing cell death

### 3.2.1 | *BCL2L1*

*BCL2L1*, a member of the Bcl-2 family, generates two isoforms, *BCL-xL* and *BCL-xS*, which have opposing functions in apoptosis; the first prevents apoptosis while the latter promotes it (Boise et al., 1993). *BCL-xS* is generated via an alternative 5'SS in exon 2 (Figure 4), and lacks the exons encoding the Bcl-2 homology domains, BH1 and BH2, but still includes BH3 and BH4 (Akgul, Moulding, & Edwards, 2004). Sam68 modulated by FBI-1, RBM4, PTBP1, or RBM25 upregulates *BCL-xS* expression (Bielli et al., 2014; Bielli, Bordi, Di Biasio, & Sette, 2014; Paronetto, Achsel, Massiello, Chalfant, & Sette, 2007; Wang et al., 2014; Zhou, Ou, Cho, Benz Jr., & Huang, 2008), whereas SRSF1 promotes *BCL-xL* splicing (Moore, Wang, Kennedy, & Silver, 2010). Increased expression of *BCL-xL* and decreased expression of *BCL-xS* are detected in lymphoma, glioma, myeloma, and neuroblastoma cell lines and primary tumors (Dole et al., 1995; Li et al., 2016; Tu et al., 1998; Xerri et al., 1996). Expression of the proapoptotic *BCL-xS* isoform in cancer cell lines decreases cell viability and sensitizes cells to chemotherapy and radiation (Li, Li, et al., 2016; Mercatante, Bortner, Cidlowski, & Kole, 2001; Taylor, Zhang, Wyatt, & Dean, 1999). Conversely, expression of *BCL-xL* promotes cell survival and increases resistance to apoptosis following chemotherapy (Coluccia et al., 2004; Dole et al., 1995; Hayward et al., 2003; Lebedeva, Rando, Ojwang, Cossum, & Stein, 2000). Therefore *BCL-xS* can antagonize the protective effects of *BCL-xL*.

### 3.2.2 | *FAS*

*FAS* is a member of the tumor necrosis factor (TNF)-receptor superfamily known for promoting the extrinsic pathway of apoptosis. An alternatively spliced isoform, soluble Fas (*sFAS*), is produced by the skipping of exon 6, which encodes the transmembrane domain, and therefore the protein cannot localize to the plasma membrane (Cheng et al., 1994). Alternative splicing of *FAS* is controlled by multiple regulators, including EWS, hnRNPA1, and TIA1, all of which promote exon 6 inclusion (Izquierdo et al., 2005; Oh et al., 2013; Paronetto et al., 2014), whereas RBM5 and PTBP1 favor exon 6 skipping (Bonnal et al., 2008). A genome-wide siRNA screen identified close to 200 additional genes that may be implicated in regulating *FAS* alternative splicing (Tejedor, Papasaikas, & Valcarcel, 2015). *sFAS* is expressed in leukemias and lymphomas (Inaba et al., 1999; Kamihira, Yamada, Tomonaga, Sugahara, & Tsuruda, 1999; Liu et al., 2002), as well as in solid tumors including renal, cervical, endometrial, ovarian, and bladder cancer (Konno, Takano, Sato, & Yajima, 2000; Midis, Shen, & Owen-Schaub, 1996; Mizutani, Yoshida, & Bonavida, 1998; Nonomura et al., 2000). Expression of *sFAS* is inversely correlated with patient survival and tumor progression in leukemia, gynecological, and bladder tumors (Kamihira et al., 1999; Konno et al., 2000; Midis et al., 1996; Mizutani et al., 1998).

### 3.2.3 | *BINI*

*BINI* is tumor suppressor that functions by interacting with and inhibiting c-MYC. Inclusion of exon 12A of *BINI* generates a protein isoform that no longer binds Myc and therefore eliminates BIN1 tumor-suppressive function (Sakamuro, Elliott, Wechsler-Reya, & Prendergast, 1996). *SRSF1* overexpression promotes inclusion of exon 12A, and this isoform plays a role in escaping cell death in *SRSF1*-dependent breast tumors (Anczuków, Rosenberg, et al., 2012; Karni et al., 2007). Expression of the *BINI+12A* isoform is detected in melanoma and breast cancer cell lines (Ge et al., 1999; Karni et al., 2007).

### 3.2.4 | *CASP-2*

Caspase 2 is an initiator of apoptosis and functions as a tumor suppressor. Splicing of *CASP-2* generates two main isoforms; skipping of exon 9 produces the proapoptotic Casp-2L protein isoform, whereas exon 9 inclusion results in a PTC, leading to the antiapoptotic Casp-2S isoform (Bergeron et al. 1998; Wang, Miura, Bergeron, Zhu, & Yuan, 1994). Splicing of *CASP-2* is regulated by RBM5, which promotes exon 9 inclusion (Fushimi et al., 2008), and SRSF3, which promotes exon 9 skipping (Jang et al., 2014). The major isoform in adult tissue is Casp-2L, whereas Casp-2S is found in brain and muscle tissues (Bergeron et al., 1998; Wang, Miura, Bergeron, Zhu, & Yuan, 1994). Expression of *CASP-2* isoforms is detected in various cancers and immortalized cell lines (Han et al., 2013; Jang et al., 2014; Solier et al., 2004).

### 3.2.5 | *MCL1*

The BH3-containing member of the Bcl-2 family, *MCL1*, has three major isoforms which differ in their apoptotic potential (Bae, Leo, Hsu, & Hsueh, 2000; Bingle et al., 2000). Full length *MCL1-L* includes exons 1 to 3 and the encoded protein displays antiapoptotic activity. Skipping of exon 2 introduces a PTC, leading to the *MCL1-S* isoform, which contains the BH3 domain but lacks the BH1, BH2, and transmembrane domains. Finally, truncation of exon 1 produces the *MCL1-ES* isoform which maintains the BH1-BH3 and transmembrane domains but lacks the proline (P), glutamic acid (E), serine (S), and threonine (T) rich (PEST) domain, a site of cleavage and phosphorylation for caspases (Le Gouill, Podar, Harousseau, & Anderson, 2004). SRSF1 is one of the regulators of *MCL1* splicing in cancer cell lines (Gautrey & Tyson-Capper, 2012). Increased expression of *Mcl-1L* is observed in oral cancers and basal cell carcinoma compared to normal tissues (Mallick et al., 2009; Shieh, Liu, Huang, Chen, & Hsieh, 2009). High *MCL1-L* expression correlates with increased tumor size and decreased survival in oral cancers (Palve, Mallick, Ghaisas, Kannan, & Teni, 2014), as well as with resistance to treatment in oral squamous cell carcinoma (Palve & Teni, 2012). Interestingly, melanocytes upregulate *MCL1-L* in response to ultraviolet B (UVB) radiation, which protects them against apoptosis, whereas melanoma cell lines that have elevated *MCL1-L* expression without UV exposure are resistant to apoptosis (Fukumoto et al., 2016). Finally, *MCL1-ES* differs from other Bcl-2 family members in that it does not depend on BAX/BAK homodimerization for apoptotic activity. Interestingly, *MCL1-ES* neutralizes the effects of *MCL1-L*, and *MCL1-ES* apoptotic activation is enhanced by *MCL1-L* expression (Kim & Bae, 2013). Conversely, *MCL1-S* apoptotic activity is inhibited by *MCL1-L* (Bae et al., 2000), suggesting that the different isoforms promote apoptosis via distinct mechanisms.

## 3.3 | Isoforms rewiring cell metabolism

### 3.3.1 | *PKM*

The two isoforms of pyruvate kinase, a key glycolytic enzyme, are formed by the splicing of one of two MXE that share 56 amino acids but differ at 22 residues (Dayton, Jacks, & Vander Heiden, 2016). Inclusion of *PKM* exon 9 produces the constitutively active *PKM1*, while inclusion of exon 10 encodes *PKM2*. Both *PKM* isoforms perform the same catalytic function, but *PKM2* can switch between the active and inactive state (Dayton et al., 2016). *PKM2* expression is regulated either by repressing inclusion of exon 9 via binding of PTBP1, hnRNPA1, or hnRNPA2, or by promoting exon 10 inclusion via binding of SRSF3. Both splicing events increase expression of *PKM2* relative to *PKM1* (Clower et al., 2010; David et al., 2010; Wang, Chatterjee, et al., 2012). *PKM2* is detected in most embryonic as well as proliferating adult tissues, with the exception of muscle, brain, and bladder, which express only *PKM1* (Dayton et al., 2016). Increased *PKM2* levels are reported in many human solid tumors and correlate with decreased patient survival, advanced stage, and poor prognosis (Wang, 2017, p. 1585). *PKM2* KD inhibits tumor progression and metastasis in vivo and in vitro in ovarian, gastric, colon, liver, and esophageal cancer models (Chao et al., 2017; Liu et al., 2015; Shiroki et al., 2017; Wang et al., 2017). Conversely, cancer cells engineered to express *PKM1* in place of *PKM2* convert from aerobic glycolysis to mitochondrial respiration and are unable to form tumors after xenotransplantation (Anastasiou et al., 2012; Christofk et al., 2008). However, other studies suggest that *PKM2* is not necessary for tumor growth in colon cancer cell lines or in a breast cancer mouse model (Cortes-Cros et al., 2013; Israelsen et al., 2013; Lau et al., 2017). *PKM2* plays a role in cancer metabolism and activates the PI3K/Akt pathway (He et al., 2015). The ability to inhibit *PKM2* activity is important for cell proliferation in vivo and in vitro (Israelsen & Vander Heiden, 2015), and allows cells to respond to signaling and environmental cues (Dayton et al., 2016).

## 3.4 | Isoforms promoting angiogenesis

### 3.4.1 | *VEGFA*

The growth factor *VEGFA* stimulates blood vessel formation by promoting proliferation and migration of endothelial cells. The *VEGFA* transcript undergoes alternative splicing in two distinct regions to produce protein isoforms of variable length. Inclusion of variable exons 6a, 6b, 7a, or 7b encodes the *VEGFA<sub>xxx</sub>* isoforms, where “xxx” refers to the final number of amino acids (Houck et al., 1991). In addition, inclusion of variable exon 8b, instead of exon 8a, at the 3' end of the transcript

produces the antiangiogenic VEGFA<sub>xxx</sub>b isoforms (Bates et al., 2002). *VEGFA<sub>165b</sub>* splicing is promoted by SRSF6 overexpression, whereas SRSF1 and SRSF5 overexpression promote *VEGFA<sub>xxx</sub>* (Nowak et al., 2010). Adult human tissues express predominantly antiangiogenic VEGF<sub>xxx</sub>b isoforms, such as the common VEGF<sub>165b</sub> isoform, but their expression often decreases as tumors progress (Harper & Bates, 2008). Decreased expression of *VEGF<sub>165b</sub>* is found in human metastatic melanoma and prostate tumors (Pritchard-Jones et al., 2007; Woolard et al., 2004). A shift in expression from *VEGF<sub>165b</sub>* to *VEGF<sub>165</sub>* occurs in colon and squamous cell carcinoma tumors (Biselli-Chicote et al., 2017; Varey et al., 2008). However, neither the expression of *VEGFA<sub>xxx</sub>* nor of *VEGFA<sub>xxx</sub>b* correlates with patient survival in head and neck tumors (Wilkie et al., 2016). Finally, overexpression of *VEGF<sub>165b</sub>* reduces tumor growth in mouse xenograft models of colon, renal, prostate, or soft tissue tumors (Rennel et al., 2008, 2008; Varey et al., 2008). *In vitro* VEGFA<sub>165b</sub> binds to the same receptor as do VEGF<sub>165b</sub> and with the same affinity; however, VEGFA<sub>165b</sub> is unable to stimulate the VEGF signaling pathway. Thus, antiangiogenic VEGFA<sub>xxx</sub>b isoforms can inhibit VEGFA<sub>xxx</sub>-mediated angiogenesis (Woolard et al., 2004).

### 3.5 | Isoforms enabling cell invasion and metastatic dissemination

#### 3.5.1 | *CD44*

CD44 is a transmembrane glycoprotein that binds hyaluronic acid and functions in cell division, survival, and adhesion. The *CD44s* isoform contains exons 1–5 and 16–20, whereas inclusion of any of the variable exons 6–10 generates one of the *CD44v* isoforms (Screaton, Bell, Bell, & Jackson, 1993). Regulators of *CD44* alternative splicing include ESRP1, hnRNPA1, and SRSF2, all of which promote *CD44v* splicing (Brown et al., 2011; Chen et al., 2017; Loh et al., 2014; Zhou et al., 2013), whereas hnRNPL inhibits *CD44v* expression (Loh et al., 2015). *CD44v* isoforms are expressed in both normal and tumor tissues, but their expression frequently increases in gastric, ovarian, bladder, colon, and prostate tumors (Brown et al., 2011; Choi, Kim, Kim, Choi, & Goh, 2017; Fox et al., 1994; Lau et al., 2014; Omara-Opyene, Qiu, Shah, & Iczkowski, 2004; Sosulski et al., 2016; Terpe et al., 1996; Woodman et al., 1996). *CD44v* expression is often associated with tumor progression, is frequently found in recurrent tumors, and correlates with increased grading (Omara-Opyene et al., 2004; Terpe et al., 1996; Wu et al., 2015). The role of *CD44v* isoforms in tumor progression remains a topic of discussion. Expression of exogenous *CD44v8-10* increases tumor initiation frequency in gastric cancer models (Lau et al., 2014), and, similarly, *CD44v9* facilitates invasion in prostate cancer (Omara-Opyene et al., 2004; Terpe et al., 1996). However, some ovarian tumors express higher levels of *CD44s* than *CD44v*, and patients expressing *CD44v8-10* have longer survival rates (Bhattacharya, Mitra, Chaudhuri, & Roy, 2017; Sosulski et al., 2016). Finally, EMT not only affects, but is also affected by *CD44* isoform expression. Epithelial cells express predominantly *CD44v*, but switch to *CD44s* after undergoing EMT in breast and ovarian tumor models (Brown et al., 2011; Sosulski et al., 2016). *CD44s* is required for EMT in breast and ovarian cancer models, and its expression enhances migration (Bhattacharya et al., 2017; Brown et al., 2011). *CD44s* expression also induces a mesenchymal phenotype, increases cell invasion, and results in poor differentiation and distant metastasis in gallbladder cancer models (Miwa, Nagata, Kojima, Sekine, & Okumura, 2017). However, *CD44v* expressing gallbladder cancer cells are still highly tumorigenic even though exhibit decreased invasive potential (Miwa et al., 2017).

#### 3.5.2 | *ENAH*

ENAH, also known as Mena, regulates actin nucleation and polymerization and modulates cell morphology and motility. Splicing of the *ENAH* transcript generates three main isoforms, which play different roles in tumor progression. Inclusion of exon INV produces *MENA-INV*, inclusion of exon 11a produces *MENA11a*, and skipping of exon 6 produces *MENAΔv6* (Di Modugno et al., 2012). Alternative splicing of *MENA* is regulated by ESRP1 and ESRP2 (Balsamo et al., 2016). The ratio of Mena isoforms varies between normal and tumor tissues. For example, breast tumors express high levels of Mena11a or MenaINV, while limited to no expression of these isoforms is detected in normal tissue. In addition, MenaINV expression increases with tumor grade, metastasis, and tumor progression, and is accompanied by a decrease in Mena11a (Goswami et al., 2009; Oudin et al., 2016; Tanaka, Yoshida, Suzuki, & Harigaya, 2014). Expression of both pan-Mena and Mena11a increases in lung tumors compared to normal tissue; however, low Mena11a expression correlates with decreased survival rates in lung cancer patients, and patients expressing high levels of Mena11a do significantly better (Bria et al., 2014). Expression of Mena11a also correlates with epithelial markers and decreased invasion, whereas MenaINV and MenaΔv6 expression correlate with mesenchymal markers, and increased invasion and metastasis (Bria et al., 2014; Di Modugno et al., 2007, 2012; Oudin et al., 2016; Philippar et al., 2008). KD of Mena11a in breast cancer cell lines decreases cell migration, and ectopic Mena11a expression reduces lamellipodia protrusion (Balsamo et al., 2016).

#### 3.5.3 | *MSTRI* (alias RON)

The receptor tyrosine kinase RON (*MSTRI*) is a member of the MET proto-oncogene family, which is implicated in tumor progression. Exons 5, 6, 11, and 19 undergo alternative splicing to produce four isoforms: *RONΔex11* (RONΔ165),



*RONΔex5-6* (*RONΔ160*), *RONΔex5-6-11* (*RONΔ155*), and *RONΔex19* (*RONΔ170*) (Krishnaswamy et al., 2015; Lu, Yao, & Wang, 2007). Splicing of *RONΔ165* is regulated by SRSF1 (Ghigna et al., 2005; Mayer et al., 2015). *RONΔ165*, *RONΔ160*, and *RONΔ155* are constitutively active, whereas *RONΔ170* is a kinase-defective isoform that inhibits tumorigenesis by other active RON isoforms (Collesi, Santoro, Gaudino, & Comoglio, 1996; Krishnaswamy et al., 2015; Lu et al., 2007). *RONΔ160* likely exerts its tumorigenic potential by increasing  $\beta$ -catenin expression (Xu, Wang, Shen, Chen, & Wang, 2004). *RONΔ165*, *RONΔ160*, or *RONΔ155* are expressed in human primary colon, ovarian, breast, and brain tumors, as well as in gastric and lung cancer cell lines (Collesi et al., 1996; Eckerich et al., 2009; Ghigna et al., 2005; Krishnaswamy, Mohammed, Tripathi, Alokail, & Al-Daghri, 2017; Mayer et al., 2015; Zhou, He, Chen, Wang, & Wang, 2003). Ectopic expression of *RONΔ160* or *RONΔ155* promotes tumor formation and lung metastasis in NIH3T3 xenograft mouse models (Zhou et al., 2003). Additionally, expression of *RONΔ160* or *RONΔ155*, but not *RONΔ165*, induces anchorage-independent growth in colon cancer cells lines (Xu et al., 2004). However, *RONΔ165* expression increases motility and invasiveness in cancer cell lines (Collesi et al., 1996; Ghigna et al., 2005).

### 3.5.4 | *RAC1*

Rac1 is a member of the Rho GTPase family, which is involved in signaling for cell motility and proliferation. Inclusion of *RAC1* exon 3b produces the constitutively active *RAC1b* isoform, which contains 19 additional amino acids behind the switch II domain, a region important for Rac1 interaction with regulators and effectors (Fiegen et al., 2004; Singh et al., 2004). SRSF1 is one of the regulators of *RAC1* alternative splicing (Goncalves et al., 2014). *Rac1b* has accelerated GDP/GTP exchange and impaired GTP hydrolysis, thus leading to prolonged signaling activity (Beausoleil et al., 2009; Fiegen et al., 2004; Matos, Collard, & Jordan, 2003). Furthermore, *RAC1b* is unable to interact with RHO-GDI, to signal downstream PAK1 and JNK kinases, or to activate the RelB pathway (Matos & Jordan, 2006; Melzer, Hass, von der Ohe, Lehnert, & Ungefroren, 2017), but can negatively regulate *RAC1* activity (Nimnual, Taylor, Nyako, Jeng, & Bar-Sagi, 2010). *RAC1b* is expressed in breast, thyroid, colorectal, and lung tumors (Faria, Capinha, Simoes-Pereira, Bugalho, & Silva, 2016; Goncalves et al., 2014; Schnelzer et al., 2000; Zhou et al., 2013). Increased expression of *RAC1b* in thyroid tumors correlates with metastasis and poor clinical outcome (Faria et al., 2016). *Rac1b* expression in colon and thyroid cancer cell lines sustains cell survival by stimulating G1/S progression and protecting cells from apoptosis (Faria et al., 2017; Li et al., 2016; Matos & Jordan, 2008).

### 3.5.5 | *KLF6*

*KLF6* belongs to the Kruppel-like family of transcription factors which regulate cell proliferation, differentiation, and survival. *KLF6-SV1* uses an alternative 5'SS that causes a frameshift and produces a protein isoform that contains 21 novel amino acids but lacks all three of the zinc finger domains (Narla et al., 2005). Alternative splicing of *KLF6* is regulated by SRSF1, TGF- $\beta$ 1, and Ras signaling (Botella et al., 2009; Yea et al., 2008). Increased *KLF6-SV1* expression is observed in prostate, lung, ovarian, brain, breast, pancreatic, and liver tumors, and correlates with poor patient survival (Camacho-Vanegas et al., 2007; DiFeo et al., 2008; Hartel et al., 2008; Hatami et al., 2013; Liu et al., 2012; Narla et al., 2005; Yea et al., 2008). Full-length *KLF6-FL* can act as a tumor suppressor, whereas *KLF6-SV1* is oncogenic. *KLF6-SV1* KD in lung, ovarian, colon, and brain cancer cells increases apoptosis, whereas its overexpression promotes proliferation and survival (Camacho-Vanegas et al., 2007; DiFeo et al., 2008; Yea et al., 2008). Expression of *KLF6-SV1* increases cell survival, migration, and invasion in breast cancer cell lines, but has no effect on proliferation (Hatami et al., 2013). Ectopic *KLF6-SV1* expression does not alter tumor size, but increases metastasis incidence, in mice xenograft experiments (Hatami et al., 2013). Furthermore, *KLF6-SV1* KD prevents tumor formation, while KD of the full-length isoform increases tumor growth, in ovarian cancer xenograft models. Finally, expression of *KLF6-FL* is associated with epithelial markers, whereas *KLF6-SV1* is associated with a mesenchymal phenotype (Hatami et al., 2013).

## 3.6 | Isoforms enabling drug resistance

### 3.6.1 | *BCL2L11* (alias BIM)

The BH3-only protein, BIM, is a proapoptotic protein encoded by *BCL2L11*. The three major isoforms, BIM-EL, BIM-L, and BIM-S, are proapoptotic but differ in their activity, BIM-S being most active (O'Connor et al., 1998). In addition, two isoforms, BIM $\gamma$ 1 and BIM $\gamma$ 2, are generated by alternative splicing of exon 3, which contains a stop codon and results in a truncated protein that lacks the BH3 domain and thus lacks the proapoptotic activity (Anczuków, Rosenberg, et al., 2012). SRSF1 overexpression induces alternative splicing of *BIM* to promote BIM $\gamma$ 1 and BIM $\gamma$ 2 splicing (Anczuków, Rosenberg, et al., 2012). PTBP1 and hnRNPC promote exon 3 skipping and expression of the proapoptotic BIM isoforms (Juan, Roca, & Ong, 2014). The BIM $\gamma$  isoforms are expressed in leukemia, lung, and breast cancer cells (Anczuków, Rosenberg, et al., 2012; Isobe et al., 2016; Ng et al., 2012). Ectopic expression of BIM $\gamma$ 1 reduces apoptosis levels in mammary epithelial cells

(Anczuków, Rosenberg, et al., 2012). Finally, expression of BIM isoforms has been linked to drug response in tumors. High levels of BIM-EL correlate with a better induction of apoptosis in response to tyrosine kinase inhibitors in EGFR-mutant lung and HER2-amplified breast tumor models and predict responses in treatment-naïve patients (Faber et al., 2011). In addition, expression of BIM $\gamma$  isoforms in lung cancer patients with a BIM polymorphism increases resistance to tyrosine kinase inhibitors (Ng et al., 2012).

### 3.6.2 | *HER2* (alias *ErbB2*)

HER2 is a tyrosine kinase from the EGFR family, frequently amplified or overexpressed in breast tumors. Skipping of exon 20 encodes d16HER2, a constitutively active protein that lacks 16 amino acids in the extracellular domain, and is primarily detected in breast tumors (Castiglioni et al., 2006; Kwong & Hung, 1998; Wada, Yagihashi, & Naito, 2016). Alternative splicing of *HER2* exon 20 is regulated by SRSF3 and hnRNPH1 (Gautrey et al., 2015). Expression of d16HER2 increases proliferation, induces EMT and invasion, and decreases sensitivity to the HER2-targeting antibody trastuzumab (Alajati et al., 2013; Castiglioni et al., 2006; Cittelly et al., 2010; Huynh & Jones, 2014; Mitra et al., 2009; Tilio et al., 2016). d16HER2 expression allows breast cancer cells to evade trastuzumab-induced apoptosis by upregulating Bcl-2 and activating SRC, a kinase involved in proliferation and migration (Castagnoli et al., 2014; Cittelly et al., 2010).

## 4 | SPLICING ALTERATIONS AND THE TUMOR MICROENVIRONMENT

Our current understanding of RNA-splicing alterations relies on the expression of splicing isoforms and their regulators in tumor cells. However, solid tumors are composed of a mixture of cell types in addition to cancer cells, including fibroblasts, various immune cell types, and endothelial cells, all of which influence tumor progression and drug responses (Quail & Joyce, 2013). Although cell type-specific splicing has been described, we know very little about splicing alterations in these cell types in the tumor context.

### 4.1 | Matrix stiffness and composition affects RNA splicing

The local microenvironment, or niche, plays important roles in cell fate, cancer onset, and malignant evolution (Lu, Weaver, & Werb, 2012). A major component of the niche is the extracellular matrix (ECM), a complex network of macromolecules with distinctive physical, biochemical, and biomechanical properties that undergoes remodeling during metastasis. Yet, it remains unclear how the ECM composition impacts splicing isoforms and their regulators during tumor progression and metastasis. Interestingly, cells grown in three-dimensional (3D) cultures on an ECM exhibit different splicing profiles compared with the same cells grown on plastic, suggesting that ECM stiffness and composition can influence splicing choices (Anczuków et al., 2015; Srebrow, Blaustein, & Kornblihtt, 2002). Matrix stiffness can alter splicing, for example, through differential phosphorylation and activation of splicing regulators from the SR protein family (Bordeleau et al., 2015). Additionally, signaling through ECM proteins and integrin engagement can impact tumor initiation and metastasis, and can also selectively alter splicing. For example, laminin 511 promotes self-renewal and tumor initiation by engaging the  $\alpha 6\beta 1$  integrin splice variant (Chang et al., 2015). The expression of the  $\alpha 6\beta 1$  isoform is repressed by the splicing factor ESRP1 and depends on VEGF autocrine signaling (Goel et al., 2014). Furthermore, ECM proteins are themselves regulated by splicing, and often undergo splicing switches during tumor progression. For example, the oncofetal ED-A and proangiogenic ED-B fibronectin isoforms differ in their integrin-binding domain and show differential assembly into fibrils (Fukuda et al., 2002; Schiefner, Gebauer, & Skerra, 2012). Malignant cells express high levels of ED-A fibronectin and its receptor,  $\alpha 5\beta 1$  integrin, both of which have been linked to radiation resistance (Nam, Onodera, Bissell, & Park, 2010). Interestingly, aberrant ECM can also alter fibronectin splicing in nonmalignant cells (Nam et al., 2010). Similarly, tenascin-c expresses unique alternative splice forms in breast tumors (Borsi et al., 1992). In both patient samples and cell culture models, these ECM splicing isoforms have been linked to invasiveness (Nam et al., 2010). Osteopontin (SPP1) is another ECM protein that is overexpressed in various cancers and promotes oncogenic features. Splicing of *SPP1* generates multiple isoforms that play a role in cancer development and progression through their surface receptors CD44 and integrins (Briones-Orta et al., 2017). Finally, metastatic lesions exhibit alterations in splicing isoforms that impact cell polarity, cell-cell interactions, and EMT. Examples of metastasis-specific splicing events include isoforms of fibronectin (*FNI*), Tenascin C (*TNC*), *CD44*, *ENAH*, and *RAC1* (Lowy & Oskarsson, 2015; Oudin et al., 2016; Pelisch et al., 2012). Alterations in upstream splicing regulators that control metastasis-associated splicing isoforms are found in human tumors (Shapiro et al., 2011; Xu et al., 2014).

## 4.2 | Splicing isoforms and immune cell functions

Other key components of the tumor microenvironment are immune cells, which can either promote or inhibit tumor growth (Palucka & Coussens, 2016). RNA splicing controls multiple regulatory steps in immune cell development and function (Martinez & Lynch, 2013). Transcriptome-wide studies identified a repertoire of splicing isoforms expressed in specific immune cell types, and linked many of these events with lineage differentiation (Ergun et al., 2013); however, it is not known how changes in the immune cell repertoire impact splicing patterns in human tumors.

Alternative splicing plays a role in the control of innate immunity. For example, SF3A1 regulates the splicing of genes involved in Toll-like receptor (TLR) signaling in macrophages, and controls the production of positive regulators of TLR signaling, IRAK1, CD14, and IKK $\beta$ , as well as negative regulators sTLR4 and Rab7b (O'Connor et al., 2015). Another example is the splicing of the MyD88s isoform, which limits innate immune activation downstream of TLR signaling. MyD88s splicing is controlled by Eftdu2, SF3A1, and SF3B1 (Adib-Conquy et al., 2006; De Arras et al., 2014). Moreover, inclusion of an alternative *TLR4* exon generates a soluble isoform that inhibits TNF- $\alpha$  and NF- $\kappa$ B signaling in macrophages, thereby acting as a negative feedback mechanism. Similarly, soluble isoforms of membrane receptors, such as IL-4R, IL-5R, and IL-6R, are frequently generated by splicing in immune cells (Martinez & Lynch, 2013). Furthermore, alternative splicing plays a role in class switch from IgM to IgD during B cell differentiation and also impacts the generation of a secreted form of IgM (Martinez & Lynch, 2013). In addition, splicing can increase the transcript diversity of IgE by generating isoforms that are either secreted or membrane bound. Finally, loss of the RNA-binding protein HuR results in defective class switching and leads to B cell death (DeMicco et al., 2015; Diaz-Munoz et al., 2015).

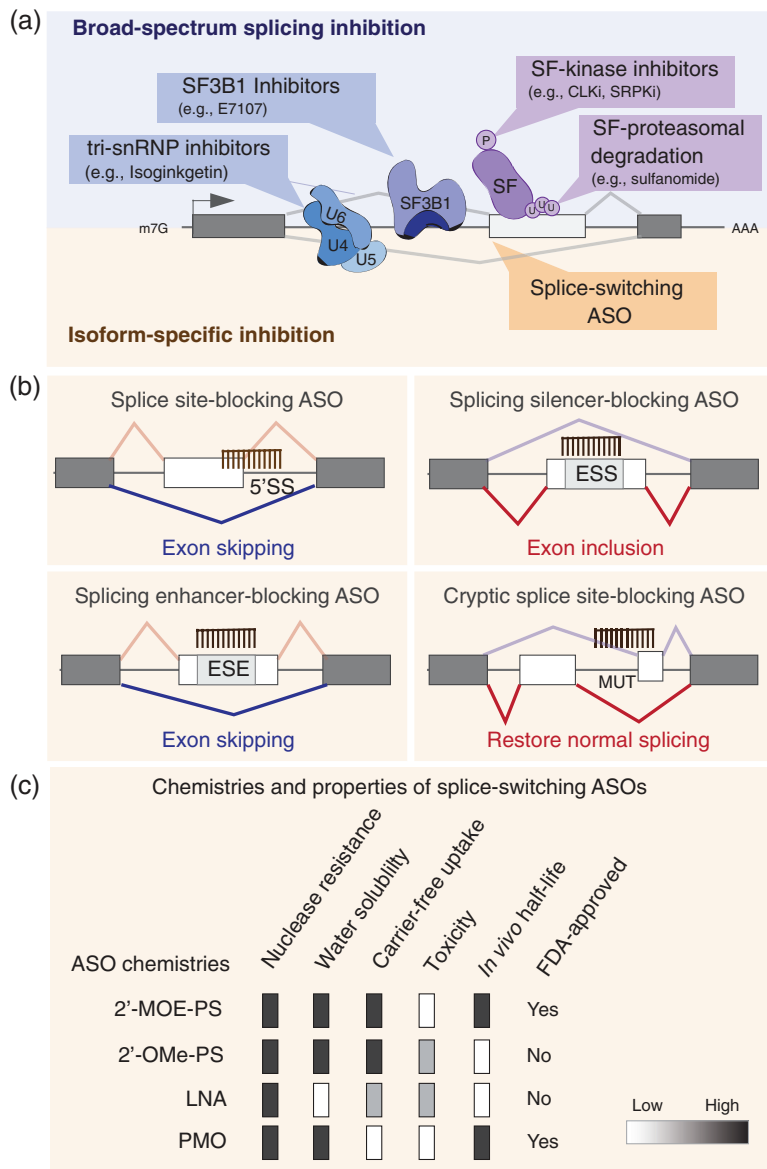
The best-studied examples of functional splicing events in T-cell differentiation are the cell-surface glycoproteins CD44 and CD45 (*PTPRC*). Splicing of *CD44*, which is regulated by Sam68, produces an alternative *CD44 $\nu$*  isoform that is involved in both lymphocyte activation and metastasis, as described above (Martinez & Lynch, 2013). *CD45* isoforms, named RA/RB/RC/RO, are expressed in different patterns in functionally distinct T-cell populations, and *CD45* splicing serves as a feedback mechanism to maintain T-cell homeostasis (Martinez & Lynch, 2013). Briefly, naïve T cells express a *CD45* isoform that includes at least one of the variable exons 4, 5, and 6, each which encode an extracellular domain that is heavily glycosylated and thus prevents CD45 homodimerization (Tong, Nguyen, & Lynch, 2005). Upon T-cell activation, skipping of *CD45* variable exons allows homodimerization of the protein at the cell surface, which leads to an inactive form and decreased signaling through the T-cell receptor. HNRNPLL, HNRNPL, SRSF2, PTBP1, HNRNPE2, and HNRNPA1 have been all implicated in the regulation of *CD45* splicing (Butte et al., 2012; Oberdoerffer et al., 2008; Preussner et al., 2012; Topp, Jackson, Melton, & Lynch, 2008; Wang, Xu, Ding, Bermingham Jr., & Fu, 2001). Moreover, stimulation of the T-cell receptor induces splicing changes in immune-related targets including *CD45*, *Fyn*, *TRAF3*, *BRD8*, and *TRIM* (Butte et al., 2012; Ip et al., 2007; Martinez et al., 2012).

## 5 | SPLICING MODULATION AS CANCER THERAPEUTICS

Modulation of RNA splicing can provide novel therapeutic targets for oncology. Splicing modulation can be achieved either by fine tuning the level or activity of splicing regulators, thus affecting the network of their downstream splicing targets, or by precisely targeting a single spliced isoform expressed in cancer cells (Figure 6).

### 5.1 | Small molecules modulating the activity or levels of splicing regulators

Compounds that affect global splicing efficiency or SS selection have been identified over the years and their number is steadily increasing (Salton & Misteli, 2016). The molecular mechanisms of action of these agents are progressively being elucidated. The first group of compounds that impact splicing includes spliceostatsins, sudemycins, and FD-895 and its parent pladienolides molecules or their derivatives (e.g., E7107 or FR901464), which all act directly on the core spliceosomal component SF3B1 (Convertini et al., 2014; Corriero, Minana, & Valcarcel, 2011; Fan, Lagisetti, Edwards, Webb, & Potter, 2011; Kashyap et al., 2015; Figure 6a). Interestingly, only a fraction of the splicing events (~10%) are affected by SF3B1 inhibition, suggesting that some SS, likely weak SS, are more sensitive than others to spliceosomal inhibitors (Kim et al., 2015; Obeng et al., 2016). Isoginkgetin, another splicing inhibitor, acts by preventing recruitment of the U4/U5/U6 tri-snRNPs, which leads to accumulation of the spliceosomal complex A (O'Brien, Matlin, Lowell, & Moore, 2008). Additionally, several small molecules alter the activity of splicing factors, for example, by targeting their regulatory kinases. Molecules such as NB-506, SRPIN34, diospyrin D1, and TG003 reduce SR-protein phosphorylation through the inhibition of proteins from the SRPK, CLK, DYRK, or topoisomerase families and thus modulate splicing of SR-protein targets (Pilch et al., 2001; Tazi et al., 2005). Finally, sulfonamides, a class of cancer drugs that achieve efficacy in a subset of cancer patients, have been recently shown to act by reducing the expression of splicing factor RBM39 (alias CAPER $\alpha$ ) through a



**FIGURE 6** Therapeutic strategies to target-splicing alterations in tumors rely either on broad-spectrum splicing inhibition or on isoform-specific modulation. (a) Small molecules targeting components of the spliceosome (e.g., SF3B1, or tri-snRNP) block their activity by preventing assembly of a functional spliceosome into the pre-mRNA, and thus globally inhibit splicing. Alternatively, broad splicing inhibition can be achieved by targeting the enzymes that modulating the activity of splicing factors (SFs), using for example small molecules inhibitors of Cdc-like kinases (CLKs) or SR-specific protein kinases (SRPKs), two kinase families that regulate the phosphorylation and thus the activity of SR proteins. Compounds that affect splicing factor polyubiquitination and proteasomal degradation (e.g., sulfonamides) can also induce broad changes in splicing profiles. On the other hand, isoform-specific inhibition can be achieved by using splice-switching antisense oligonucleotides (ASOs) that bind in a sequence-specific manner and modulate the outcome of a specific splicing isoform. (b) ASOs can promote exon skipping or inclusion by blocking the 5'SS, an exonic silencer (ESS), or enhancer element (ESE) or by preventing the usage of a mutant (MUT)/cryptic splice site. See text for details. (c) Properties of ASO chemistries are currently used for splicing modulation. See text for details. 2'-MOE/PS: 2'-O- (2-methoxyethyl)/phosphorothioate; 2'-OMe/PS: 2' O-methyl/phosphorothioate; PMO: phosphorodiamidate morpholino oligomer; LNA: locked nucleic acid

novel mode of targeted proteasomal degradation (Han et al., 2017; Uehara et al., 2017). Treatment of cancer cell lines with sulfonamides triggers the association of RBM39 with the CUL4-DCAF15 ubiquitin ligase, leading to RBM39 polyubiquitination and proteasomal degradation (Han et al., 2017; Uehara et al., 2017). Degradation of RBM39 leads to aberrant pre-mRNA splicing in a set of target genes (Han et al., 2017; Uehara et al., 2017). Interestingly, DCAF15 expression and copy number correlated with sulfonamides sensitivity, suggesting that regulators of splicing-factor degradation could constitute promising drug targets.

While effective, these small molecules often lack specificity, and their exact mechanisms of actions are not always well understood, which could potentially lead to off-target effects and limit their clinical application. Interestingly, in vitro and in vivo data suggest that cancer cells are more sensitive than normal cells to global splicing inhibition, thus providing a therapeutic window that could be exploited even when using broad-spectrum splicing inhibitors (Bonnal, Vigevani, & Valcarcel, 2012; Hsu et al., 2015; Koh et al., 2015; Paoletta et al., 2017). Even though initial trials of an SF3B1 inhibitor, E7107, in solid tumors were suspended due to unexpected toxicity, newer inhibitors, such as H3B-8800, are currently being tested in phase I trials for hematological malignancies (Agrawal, Yu, Smith, & Buonamici, 2017; Lee & Abdel-Wahab, 2016).

## 5.2 | Splice-switching RNA-based therapeutics

RNA-based therapeutics offer the potential to target virtually any molecule, especially those lacking a catalytic activity that could be inhibited, or those not amenable to targeted antibody approaches (Kole, Krainer, & Altman, 2012). Food and Drug Administration (FDA) approval of Spinraza™, which is the first splicing-correcting therapy and uses antisense oligonucleotides (ASO) to treat spinal muscular atrophy (SMA), has opened the field for RNA-based approaches to target splicing



defects (Wan & Dreyfuss, 2017). Splice-switching ASOs are 15- to 30-mer long chemically modified RNA molecules that can redirect a specific splicing event in order to prevent the production of a truncated or mutated protein, or to generate a specific protein isoform. Their specificity comes from their complimentary binding to a unique sequence on the mRNA, thus affecting only the targeted spliced isoform. Splice-switching ASOs can be designed to specifically target (1) a 5' or 3'SS, thus blocking its usage, (2) a splicing enhancer sequence, thus preventing binding of a splicing activator and promoting exon skipping, or (3) a splicing silencer sequence, thus preventing binding of a repressor and promoting exon inclusion (Havens & Hastings, 2016; Figure 6b). Another splice-switching strategy is the use of bifunctional oligonucleotides made of an antisense portion that determines target specificity, and a nonhybridizing tail that recruits proteins or RNA/protein complexes that modulate SS selection (Brosseau et al., 2014; Cartegni & Krainer, 2003; Rigo et al., 2012).

Natural unmodified DNA or RNA oligonucleotides are vulnerable to nuclease degradation and are unstable in vivo. Chemical modification of the phosphate backbone and/or the ribose ring can produce stable molecules with high substrate specificity, low toxicity, low immunogenicity, and that limit ribonuclease (RNase) H degradation (Bennett & Swayze, 2010). ASO designed to activate RNase H cleavage will not be discussed here as they do not modulate alternative splicing but trigger degradation of their mRNA target. Several distinct ASO chemistries are currently used for splicing modulation (Figure 6c). A common backbone modification uses phosphorothioates (PS) at the nucleotide link (Bennett & Swayze, 2010). PS-ASOs are more hydrophobic, more nuclease resistant, and bind with higher affinity than ASOs with unmodified phosphodiester linkages (Crooke, Wang, Vickers, Shen, & Liang, 2017). PS-ASOs are often combined with ribose modifications such as 2'-O-(2-methoxyethyl) (2'-MOE) or 2'-O-methyl (2'-OMe; Bennett & Swayze, 2010). Uniformly modified 2'-MOE/PS ASOs are effective when administered in saline by nearly all routes of administration and their tissue half-lives ranges from 2 to 4 weeks, but can even achieve 6 months in the central nervous system (Crooke et al., 2017). Another type of modification uses locked nucleic acid (LNA), which increases binding affinity and reduces off-target effects by allowing the usage of shorter sequences that are less likely to partially hybridize to nontarget sequences (Havens & Hastings, 2016). A distinct class of backbone chemistry uses phosphorodiamidate linkages in morpholino oligomers (PMO or morpholino; Havens & Hastings, 2016). PMOs are neutrally charged and provide better specificity and display lower toxicity than PS-ASOs. However, PMOs often need to be conjugated to a delivery moiety for in vivo delivery. Finally, peptide nucleic acid (PNA) offer specificity similar to PMO, but their low water solubility limits their use (Havens & Hastings, 2016).

However, efficient delivery to the target organ still remains one of the major challenges in the field of RNA-based therapeutics. The two challenging steps involve getting the ASO to the tissue of therapeutic interest and then delivering it to the correct intracellular compartment (Juliano, 2016). In addition to naked formulations, ASO modifications, carriers, and other approaches are currently being tested to increase splicing efficiency, lower the dosage, enable tissue-specific delivery, and limit toxicity and off-target effects (Havens & Hastings, 2016). In vivo, ASOs can be injected either systemically or directly into the specific organ where the correction needs to be achieved (Havens & Hastings, 2016). For example, the FDA-approved 2'-MOE/PS ASO, Spinraza™, is delivered intrathecal in saline, and achieves a 4–6 months half-life in the cerebrospinal fluid after initial clearance (Chiriboga et al., 2016). Eteplirsen™, the first splice-switching PMO to received FDA-approval for Duchenne Muscular atrophy is delivered by intravenous infusion. Renal clearance plays a major role in ASOs pharmacokinetics and biodistribution (Juliano, 2016). PS-ASOs bind to plasma proteins and slow their renal clearance, thus allowing broader tissue distribution, whereas uncharged PMO are cleared much faster and accumulate at lower levels (Juliano, 2016). Finally, efforts to deliver ASOs to specific tissues are ongoing (Juliano, 2016). The most promising targeted approach utilizes ASOs conjugated with an N-acetylgalactosamine (GalNac) that allows effective uptake by hepatocytes via an asialoglycoprotein receptor dependent mechanism (Juliano, 2016). Novel ASO delivery strategies are rapidly emerging. Yet, ASOs delivery to tumors will certainly face similar challenges as the delivery of other cancer drugs and will require further optimization to efficiently delivery therapeutics to cancer patients.

ASO-mediated correction of cancer-associated splicing isoforms can be achieved in vitro in human cell lines and in vivo in xenograft tumor models (Table 1). For example, ASO targeting of a splicing enhancer that regulates inclusion of exon 23 of the transcription factor *STAT3* can shift expression from the *STAT3 $\alpha$*  to the *STAT3 $\beta$*  isoform (Zammarchi et al., 2011). Induction of *STAT3 $\beta$* , an isoform that lacks the C-terminal transactivation domain, leads to apoptosis and cell cycle arrest in breast cancer cells, as well as to tumor regression in xenograft breast cancer models (Zammarchi et al., 2011). Another example is the ASO-mediated skipping of *MDM4* exon 6 to decreases *MDM4* protein abundance, an oncoprotein that inhibits p53-mediated tumor suppression (Dewaele et al., 2016). Tumors express high levels of *MDM4* as a result of a splicing switch between the NMD-degraded *MDM4-S* isoform expressed in normal cells, and the full-length exon 6-containing *MDM4-L* isoform produced in cancer cells. Skipping of *MDM4* exon 6 decreases tumor growth in patient-derived xenograft models of melanoma and lymphoma (Dewaele et al., 2016). RNA-based therapeutics are currently being tested in the clinic in lymphoma and lung cancer patients to downregulate *STAT3* expression (Hong et al., 2015).

TABLE 1 Cancer-associated human isoforms targeted by splice-switching ASO

Gene name	ASO chemistry	Type of splicing correction	Tumor type	Tested in cell lines	Tested in vivo	References
<i>STAT3</i>	PMO	Exon 23 skipping	Breast	✓	✓	Zammarchi et al. (2011)
<i>MDM4</i>	PMO	Exon 6 skipping	Skin, lymphoma	✓	✓	Dewaele et al. (2016)
<i>ERBB4</i>	LNA	Exon 26 skipping	Breast	✓	✓	Nielsen, Sorensen, Dagnaes-Hansen, Kjems, and Sorensen (2013)
<i>BCL2L1</i>	2'-MOE /PS	Exon 2 skipping	Skin	✓	✓	Bauman, Li, Yang, Huang, and Kole (2010); Mercatante et al. (2001); Taylor et al. (1999)
<i>GLDC</i>	2'-MOE /PS	Exon 7 skipping	Lung	✓	✓	Lin et al. (2017)
<i>PKM2</i>	2'-MOE /PS	Exon 9 inclusion	Brain	✓	n.d.	Wang, Jeon, Rigo, Bennett, and Krainer (2012)
<i>MCL1</i>	PMO	Exon 2 skipping	Skin	✓	n.d.	Shieh et al. (2009)
<i>MDM2</i>	PNA	Exon 4 skipping	Uterine	✓	n.d.	Shiraishi, Eysturskarth, and Nielsen (2010)
<i>BRCA2</i>	2'-OMe/PS	Cryptic exon skipping	Breast	✓	n.d.	Anczuków, Buisson, et al. (2012)
<i>IL5R</i>	2'-MOE /PS	Exon 5 skipping	Lymphoma	✓	n.d.	Karras, McKay, Dean, and Monia (2000)
<i>FGFR1</i>	PMO	Exon $\alpha$ inclusion	Brain	✓	n.d.	Bruno, Jin, and Cote (2004)
<i>MSTR1</i>	PMO	Exon 11 skipping	Breast and stomach	✓	n.d.	Ghigna et al. (2010)
<i>USP5</i>	PMO	Alternative 5'SS	Brain	✓	n.d.	Izaguirre et al. (2012)

ASO, antisense oligonucleotides; LNA, locked nucleic acid; PMO, phosphorodiamidate morpholino oligomer; n.d., not determined; PNA, peptide nucleic acid; 2'-MOE/PS, 2'-O- (2-methoxyethyl)/phosphorothioate; 2'-OMe/PS, 2' O-methyl/phosphorothioate.

### 5.3 | Cancer drugs affecting RNA splicing

Alternative splicing is modulated by a variety of cellular responses, including body temperature changes, circadian rhythm, exposure to radiations, as well as chemotherapies (Lambert, Garbacki, & Colige, 2017; Munoz et al., 2009; Preussner et al., 2017; Shkreta et al., 2008). Transcriptome-wide studies identified a repertoire of splicing isoforms expressed after treatment with the cancer drugs camptothecin, doxorubicin, or cisplatin (Boutz, Bhutkar, & Sharp, 2015; Dutertre et al., 2010; Gabriel et al., 2015; Solier et al., 2010). A large fraction of these transcripts function in pathways frequently disrupted in cancer, that is, cell cycle, DNA repair, genetic instability, and replicative immortality (Lambert et al., 2017). Additionally, treatment with gemcitabine, a first line chemotherapy for pancreatic cancer, leads to drug resistance and is associated with a splicing switch to the oncogenic isoforms *MKNK2-b* and *PKM2*, as well as with the upregulation of SRSF1 and PTBP1 (Calabretta et al., 2016). Finally, cancer drugs can be combined with splicing-modulating compounds; for example amiloride potentiates the effect of imatinib in CML, and sudemycin enhances the effects of ibrutinib in CLL (Xargay-Torrent et al., 2015).

Interestingly, changes that affect SS selection can affect resistance to targeted cancer therapies. For example, treatment with vemurafenib, a BRAF<sup>V600</sup> inhibitor, selects resistant cells expressing an alternatively spliced *BRAF* isoform that lacks the RAS-binding domain that normally regulates BRAF dimerization and activation (Poulikakos et al., 2011). Similarly, the *BRCA1 $\Delta$ 11q* isoform, a variant lacking the majority of exon 11, promotes resistance to PARP inhibition and cisplatin (Wang et al., 2016). Moreover, expression of the oncogenic *BARD1 $\beta$*  splicing isoform impairs homologous recombination and sensitize colon cancer cells to PARP inhibition even in *BRCA1* wild-type cells (Ozden et al., 2016). Finally, the selection for pre-existing alternatively spliced *CD19* isoforms bearing a compromised epitope explains resistance to CART-19 immunotherapy in B-ALL patients (Sotillo et al., 2015).

## 6 | CONCLUSION

Since RNA splicing was discovered 40 years ago, our understanding of its role in human diseases has been expanding, but many questions remain unanswered. The recent years have undoubtedly shown that alterations in RNA splicing are frequent in tumors and contribute to disease pathogenicity. Cancer screening panels currently include splicing-factor mutations among the mutated genes in hematological malignancies. Alterations in splicing-factor levels and dysregulation of downstream splicing targets are tumor characteristics shared by many cancers. Interestingly, these factors can act either as tumor suppressors or as oncogenes, depending on the tumor type, suggesting cell type-specific functions and targets. Splicing alterations represent a novel and rich source of potential therapeutic targets, and several clinical trials are currently testing them in cancer patients, such as SF3B1 inhibitors in MDS patients as described above (Agrawal et al., 2017; Lee & Abdel-Wahab, 2016). The advances in RNA-based therapeutics will likely accelerate the development of splicing-modulating compounds as cancer therapeutics. Additionally, advances in other fields may be applied to address current challenges in delivery and efficacy of RNA-based therapeutics. For example, specific delivery to leukocytes can be achieved by loading siRNA onto lipid-based nanoparticles coated

with anti-CD38 monoclonal antibodies (Weinstein et al., 2016). This approach was proven effective at inhibiting cyclin D1 in vivo, suppressing tumor growth and prolonging survival of mice xenografted with human lymphoma cells (Weinstein et al., 2016), thus opening a new avenue for the treatment of hematological malignancies. Similar targeted strategies could be utilized to deliver splice-switching ASOs to the cells of therapeutic interest and increase their efficacy in tumors.

Alterations in splicing-factor levels are often detected in human tumors, yet only a fraction of these tumors exhibit copy number changes. Thus, understanding the transcriptional and posttranscriptional regulation of splicing factors is critically needed to open new direction for drug targets. The pathways that control splicing-factor homeostasis in relevant normal or tumor tissues are not well understood, and it remains unclear how they become dysregulated in tumors. Another underexplored area is how the coupling of alternative splicing with NMD impacts splicing-factor regulation in tumors. Given that cancer cells exhibit differences in the regulation of NMD, the link between these two regulatory pathways in tumorigenesis warrants further attention and may provide novel therapeutic opportunities (Lewis, Green, & Brenner, 2003; Popp & Maquat, 2017). In addition, tumors often exhibit alterations in multiple splicing factors, and thus understanding the regulatory networks of RNA-binding proteins and their targets will be crucial for the development of effective splicing-factor inhibitors.

Importantly, all previous studies exploring the role of splicing in human cancer are based on bulk tumor material, which contains a majority of tumor cells together with other cell types that have been shown to impact tumor development and drug response. Yet, whether oncogenic splicing isoforms are present in each individual cell type remains unknown. Variations in splicing patterns have rarely been studied at the single-cell level, and these differences have the potential to contribute to the heterogeneity in drug response. Interestingly, a bimodal variation in splicing patterns was observed among single dendritic cells, suggesting that single cells can exhibit distinct splicing isoforms (Shalek et al., 2013). Dissecting splicing heterogeneity in tumors at the single cell level will likely be required for to ensure the success of future splicing-modulating cancer therapies.

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#### CONFLICT OF INTEREST

The authors have declared no conflicts of interest for this article.

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